

# *Photon Momentum and Uncertainty Principle*

## Outline

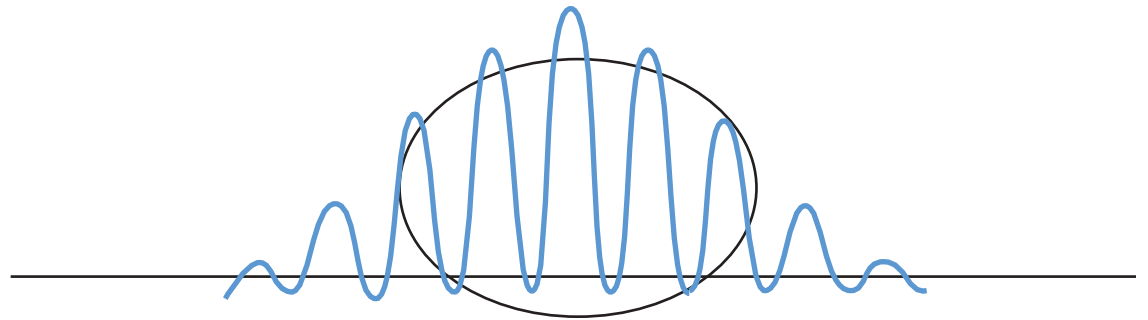
- Photons Have Momentum (Compton Scattering)
- Wavepackets - Review
- Resolution of an Optical Microscope
- Heisenberg's Uncertainty Principle

## TRUE / FALSE

1. The photoelectric effect was used to show that light was composed of packets of energy proportional to its frequency. \_\_\_\_\_
2. The number of photons present in a beam of light is simply the intensity  $I$  divided by the photon energy  $h\nu$ . \_\_\_\_\_
3. Infrared light at a wavelength of 1.24 microns has photon energy of 1.5 eV. \_\_\_\_\_

*So is Light a  
Wave or a Particle ?*

*Light is always both  
Wave and Particle !*



On macroscopic scales, **large number of photons** look like they exhibit only wave phenomena.

A **single photon** is still a wave, but your act of trying to measure it makes it look like a localized particle.

## *Do Photons Have Momentum ?*

What is momentum ?

$$E = \frac{1}{2}mv^2 = \frac{1}{2}(mv) \cdot v = \frac{1}{2}p \cdot v$$

Just like Energy,  
TOTAL MOMENTUM IS ALWAYS CONSERVED

Photons have energy and a finite velocity so there must be some momentum associated with photons !

$$p = \frac{E}{c} = \frac{h\nu}{c}$$

## Photon Momentum

*IN FREE SPACE:*

$$E = cp \Rightarrow p = \frac{E}{c} = \frac{\hbar\omega}{c} = \hbar k$$

*IN OPTICAL MATERIALS:*

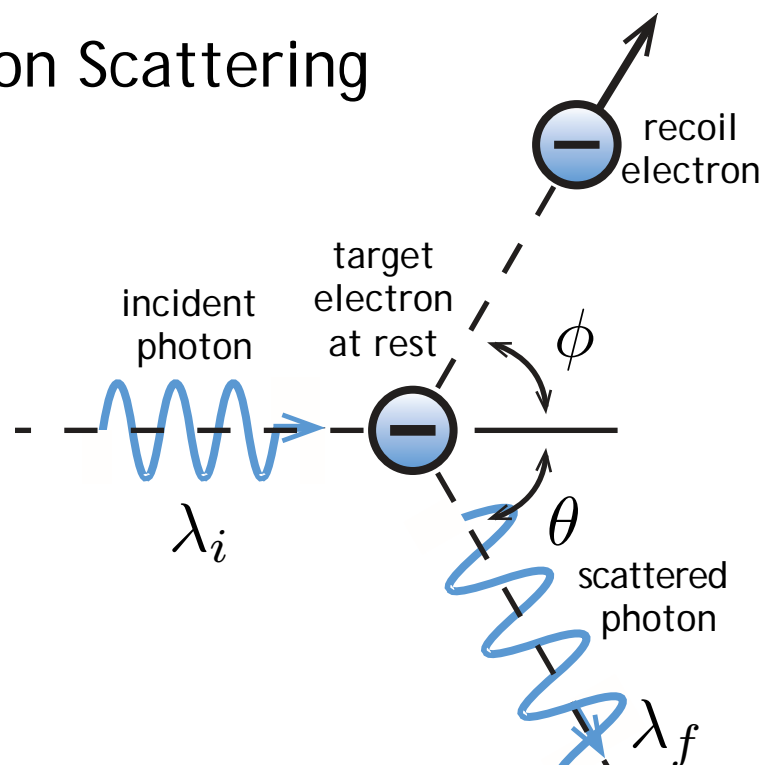
$$E = v_p p \Rightarrow p = \frac{E}{v_p} = \frac{\hbar\omega}{v_p} = \hbar k_{vac} n$$



Image by GFHund [http://commons.wikimedia.org/wiki/File:Compton, Arthur\\_1929\\_Chicago.jpg](http://commons.wikimedia.org/wiki/File:Compton, Arthur_1929_Chicago.jpg)  
Wikimedia Commons.

In 1924, A. H. Compton performed an experiment where X-rays impinged on matter, and he measured the scattered radiation.

## Compton Scattering



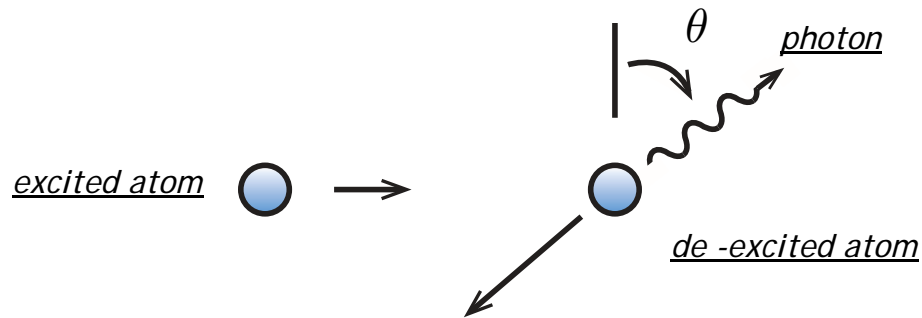
$$\lambda_f - \lambda_i = \Delta\lambda = \frac{h}{m_o c} (1 - \cos \theta)$$

It was found that the scattered X-ray did not have the same wavelength !

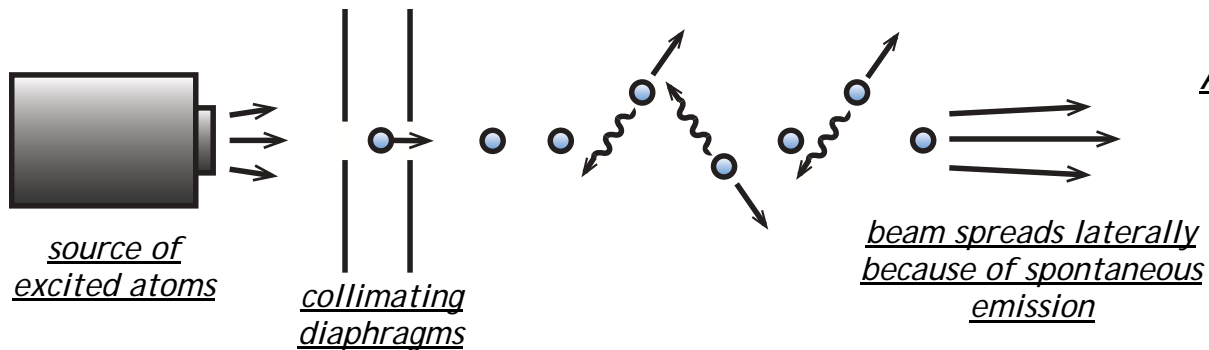
*Compton found that if you treat the photons as if they were particles of zero mass, with energy  $E = hc/\lambda$  and momentum  $p = h/\lambda$ , the collision behaves just as if it were two billiard balls colliding ! (with total momentum always conserved)*

# Manifestation of the Photon Momentum

## SOURCE EMITTING A PHOTON

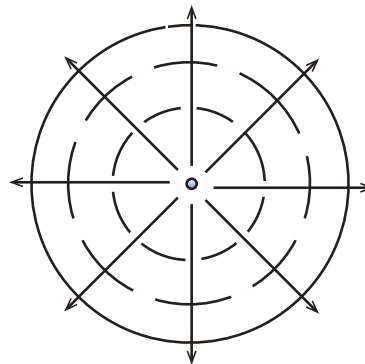


Conservation of linear momentum implies that an atom recoils when it undergoes spontaneous emission. The direction of photon emission (and atomic recoil) is not predictable.



A well-collimated atomic beam of excited atoms will spread laterally because of the recoil associated with spontaneous emission.

## SOURCE EMITTING AN EM WAVE



A source emitting a spherical wave cannot recoil, because the spherical symmetry of the wave prevents it from carrying any linear momentum from the source.

# Photon Momentum - Moves Solar Sails

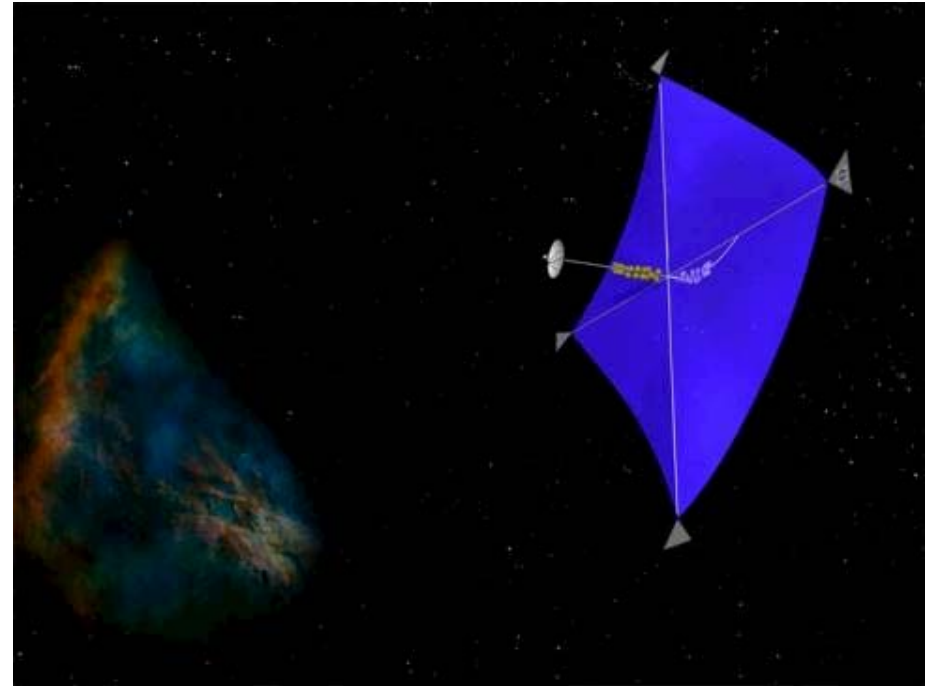
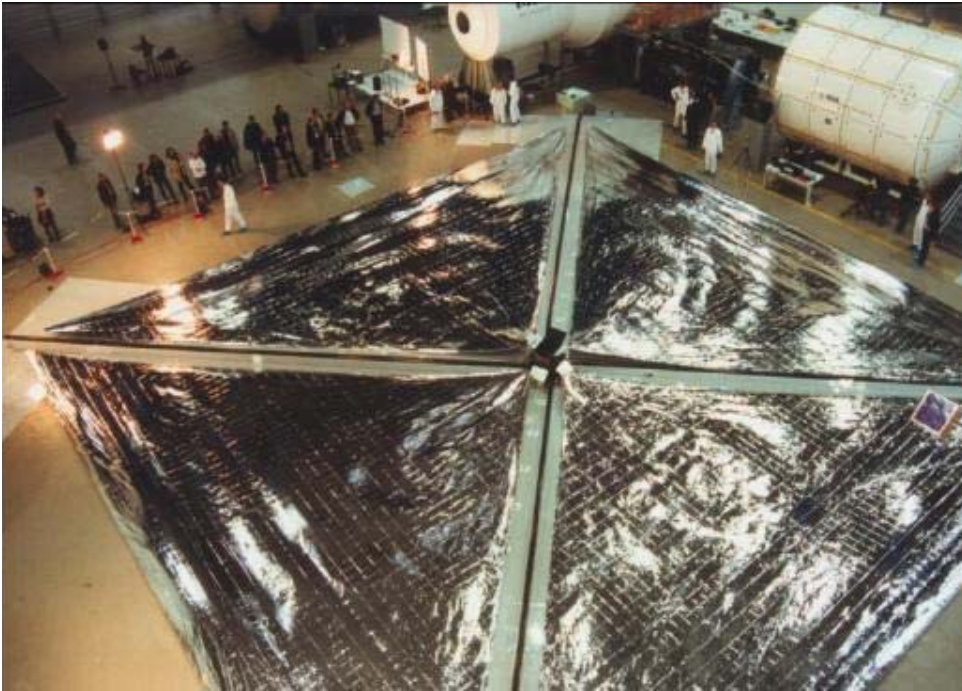
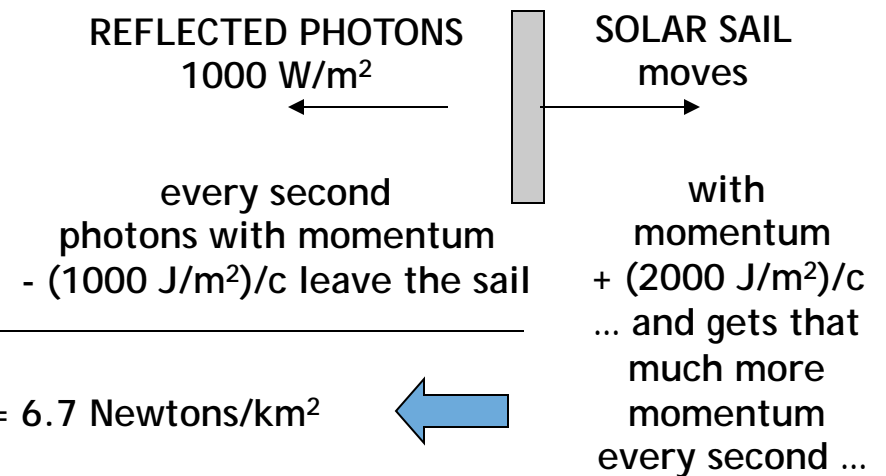
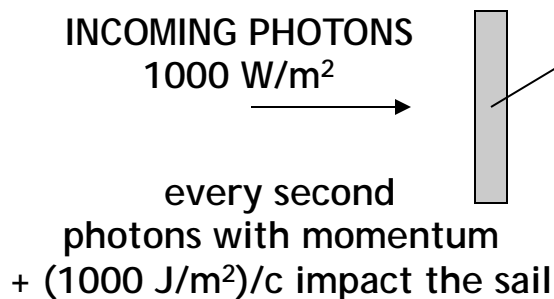
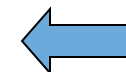


Image by D. Kassing <http://en.wikipedia.org/wiki/File:SolarSail-DLR-ESA.jpg> on Wikipedia

Image in the Public Domain

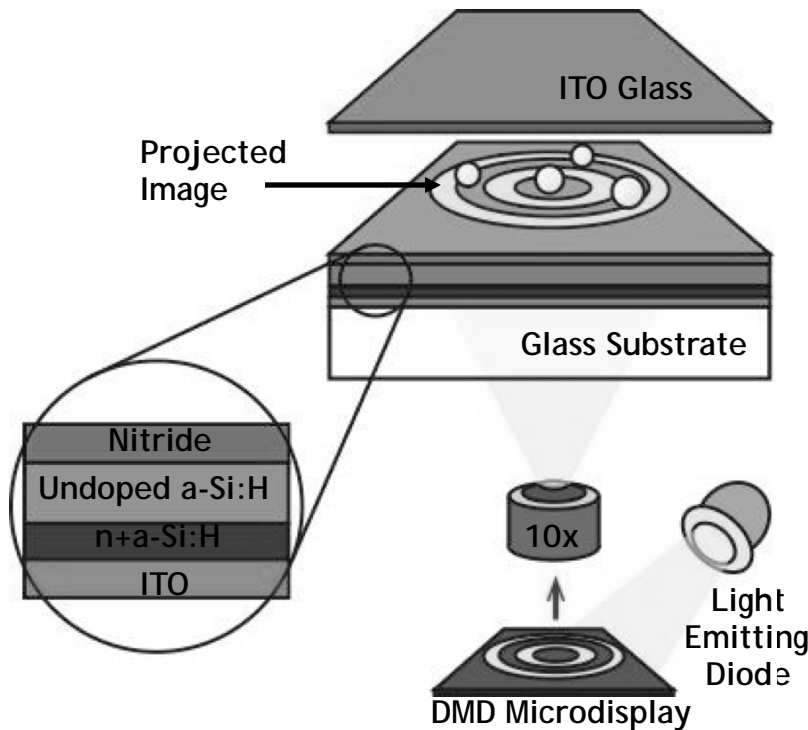


Pressure acting on the sail = (2000 J/m<sup>2</sup>) / c /second = 6.7 Newtons/km<sup>2</sup>





# Optoelectric Tweezers



Transforms optical energy to electrical energy through the use of a photoconductive surface. The idea is similar to that used in the ubiquitous office copier machine. In xerography, a document is scanned and transferred onto a photosensitive drum, which attracts dyes of carbon particles that are rolled onto a piece of paper to reproduce the image.

In this case, the researchers use a photosensitive surface made of amorphous silicon, a common material used in solar cells and flat-panel displays. Microscopic polystyrene particles suspended in a liquid were sandwiched between a piece of glass and the photoconductive material. Wherever light would hit the photosensitive material, it would behave like a conducting electrode, while areas not exposed to light would behave like a non-conducting insulator. Once a light source is removed, the photosensitive material returns to normal.

Depending upon the properties of the particles or cells being studied, they will either be attracted to or repelled by the electric field generated by the optoelectronic tweezer. Either way, the researchers can use that behavior to scoot particles where they want them to go.

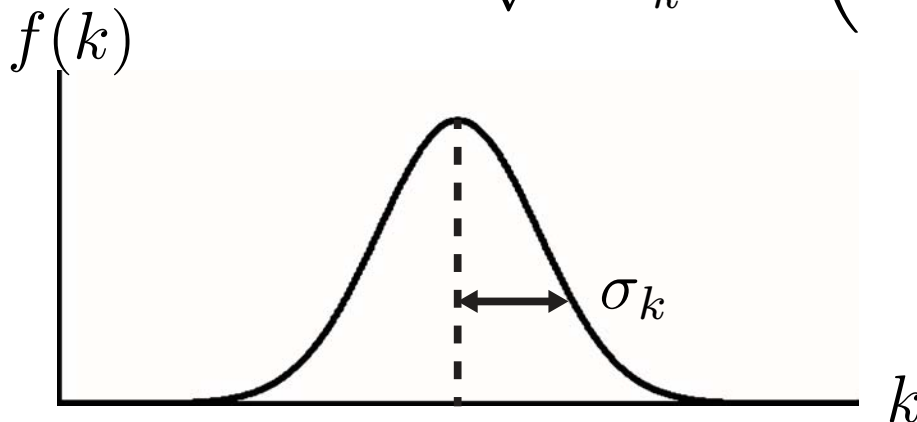
## Review of Wavepackets

WHAT WOULD WE GET IF WE SUPERIMPOSED WAVES OF MANY DIFFERENT FREQUENCIES ?

$$\vec{E} = \vec{E}_o \int_{-\infty}^{+\infty} f(k) e^{+j(\omega t - kz)} dk$$

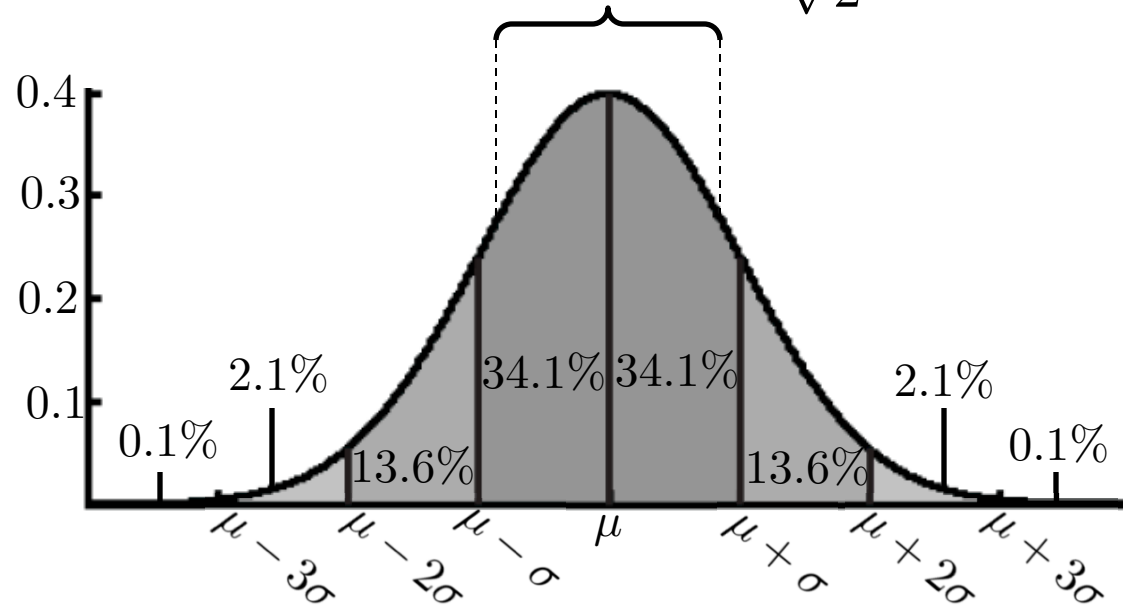
LET'S SET THE FREQUENCY DISTRIBUTION as GAUSSIAN

$$f(k) = \frac{1}{\sqrt{2\pi}\sigma_k} \exp\left(-\frac{(k - k_o)^2}{2\sigma_k^2}\right)$$



## Reminder: Gaussian Distribution

50% of data within  $\pm \frac{\sigma}{\sqrt{2}}$



$$f(x) = \frac{1}{\sqrt{2\pi\sigma}} e^{-\frac{(x-\mu)^2}{2\sigma^2}}$$

$\mu$  specifies the position of the bell curve's central peak  
 $\sigma$  specifies the half-distance between inflection points

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**FOURIER TRANSFORM OF A GAUSSIAN IS A GAUSSIAN**

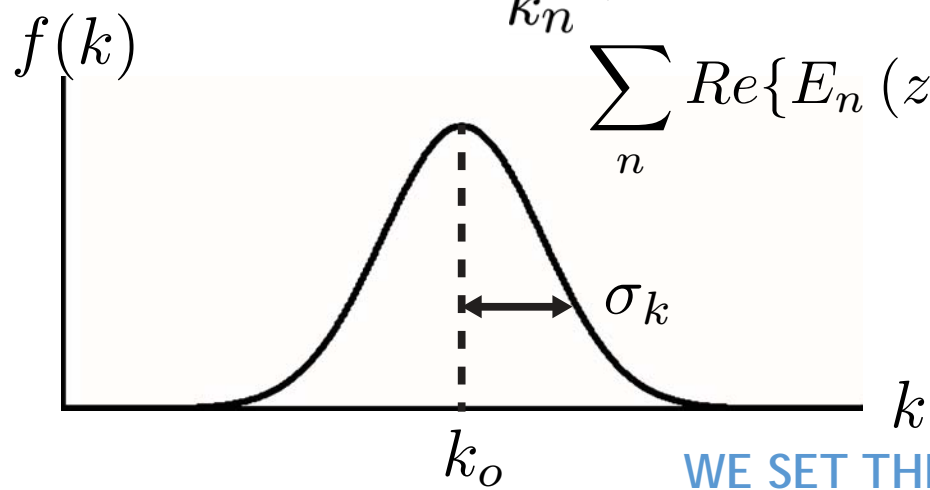
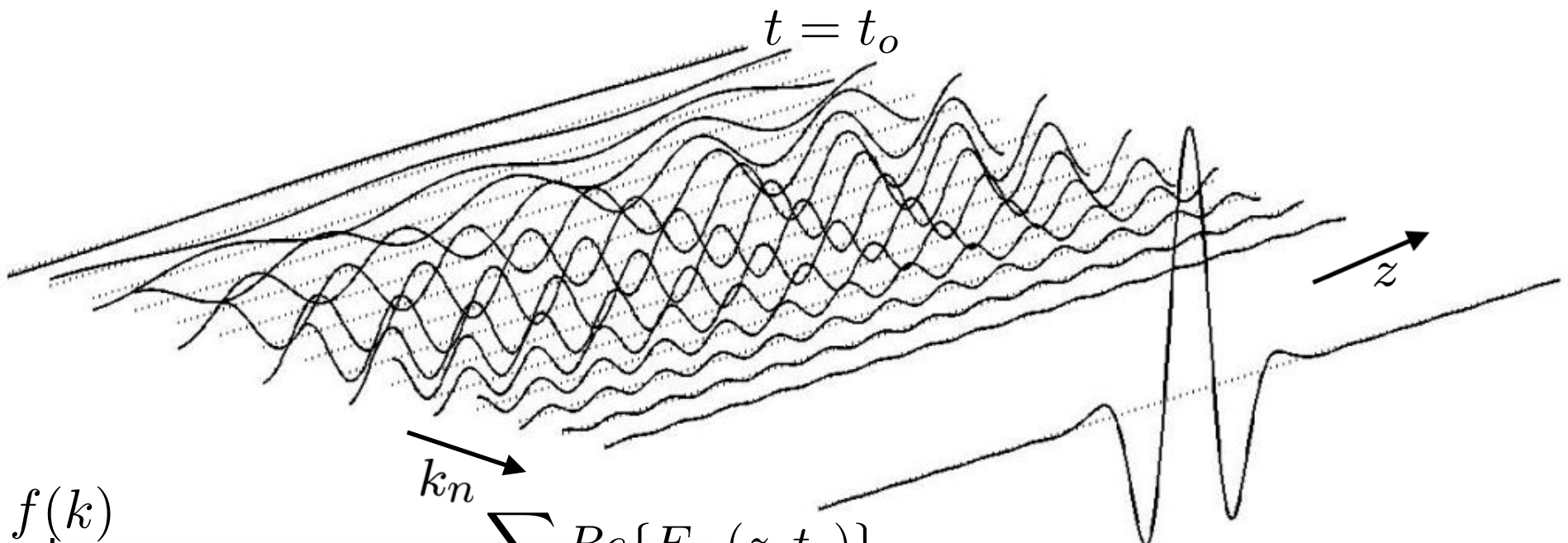
$$\mathcal{F}_x \left[ e^{-ax^2} \right] (k) = \sqrt{\frac{\pi}{a}} e^{-\frac{\pi^2 k^2}{a}}$$

REMEMBER:

$$k = \frac{n}{c}\omega$$

## Gaussian Wavepacket in Space

$$\text{Re}\{E_n(z, t_o)\}$$



$$\sum_n \text{Re}\{E_n(z, t_o)\}$$

SUM OF SINUSOIDS  
= WAVEPACKET

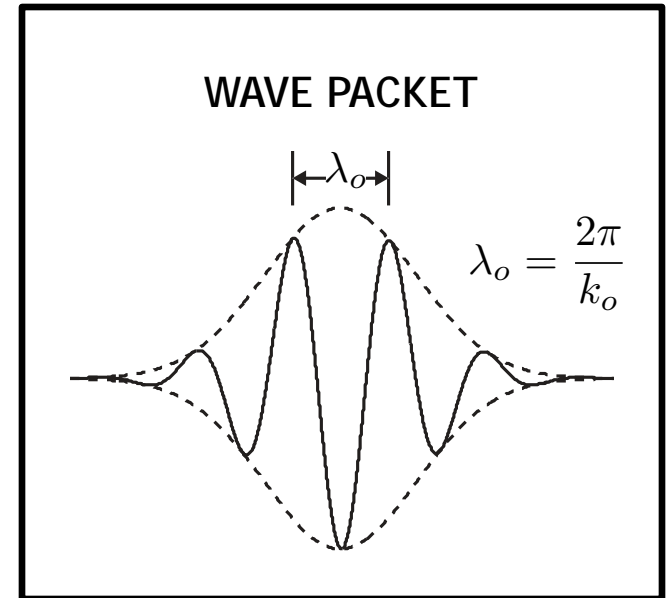
$$f(k) = \frac{1}{\sqrt{2\pi\sigma_k}} \exp\left(-\frac{(k - k_o)^2}{2\sigma_k^2}\right)$$

WE SET THE FREQUENCY DISTRIBUTION as GAUSSIAN

# Gaussian Wavepacket in Space

$$E(z, t) = E_o \exp\left(-\frac{\sigma_k^2}{2} (ct - z)^2\right) \cos(\omega_o t - k_o z)$$

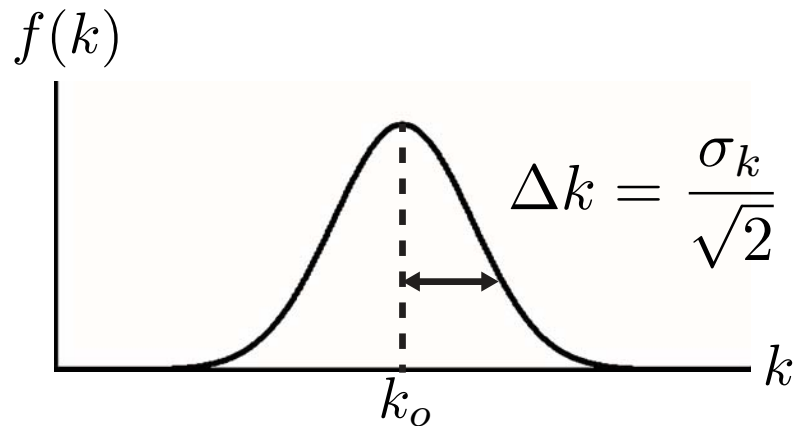
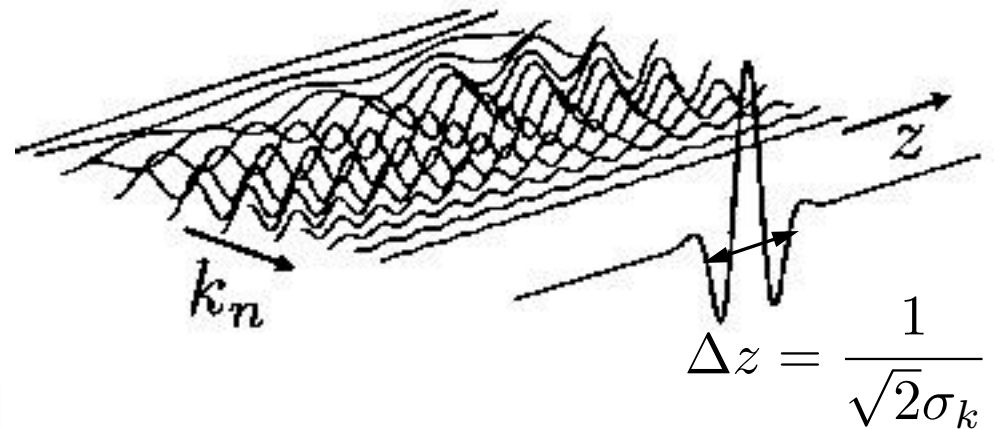
GAUSSIAN ENVELOPE



In free space ...

$$k = \frac{\omega}{c} = \frac{2\pi E}{hc}$$

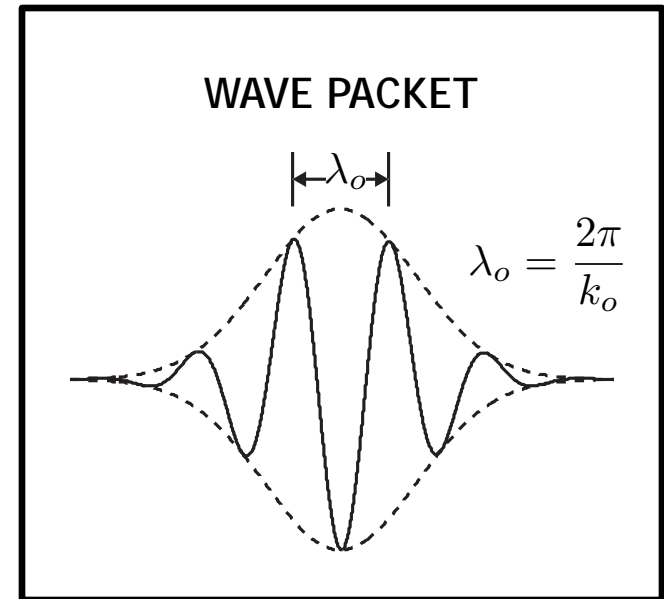
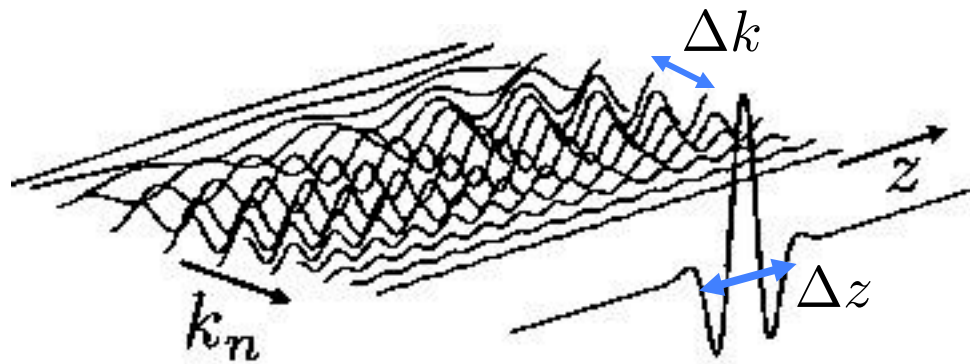
... this plot then shows the PROBABILITY OF WHICH  $k$  (or ENERGY) EM WAVES are MOST LIKELY TO BE IN THE WAVEPACKET



$$\Delta k \Delta z = 1/2$$

## Gaussian Wavepacket in Time

$$E(z, t) = E_o \exp\left(-\frac{\sigma_k^2}{2} (ct - z)^2\right) \cos(\omega_o t - k_o z)$$



## UNCERTAINTY RELATIONS

$$\Delta z = \frac{c}{n} \Delta t$$

$$\Delta k = \frac{n}{c} \Delta \omega$$

$$\Delta k \Delta z = 1/2$$

$$\Delta \omega \Delta t = 1/2$$

$$\Delta p \Delta z = \hbar/2$$

$$\Delta E \Delta t = \hbar/2$$



# Today's Culture Moment

## Crookes Radiometer

The crookes radiometer spins because of thermal processes, but he initially guessed it was due to photon momentum...

*invented in 1873  
by the chemist  
Sir William Crookes*

Image by Rob Ireton  
<http://www.flickr.com/photos/aosakana/3308350714/> from Flickr.



## *Heisenberg realized that ...*

- In the world of very small particles, one cannot measure any property of a particle without interacting with it in some way
- This introduces an unavoidable uncertainty into the result
- One can never measure all the properties exactly

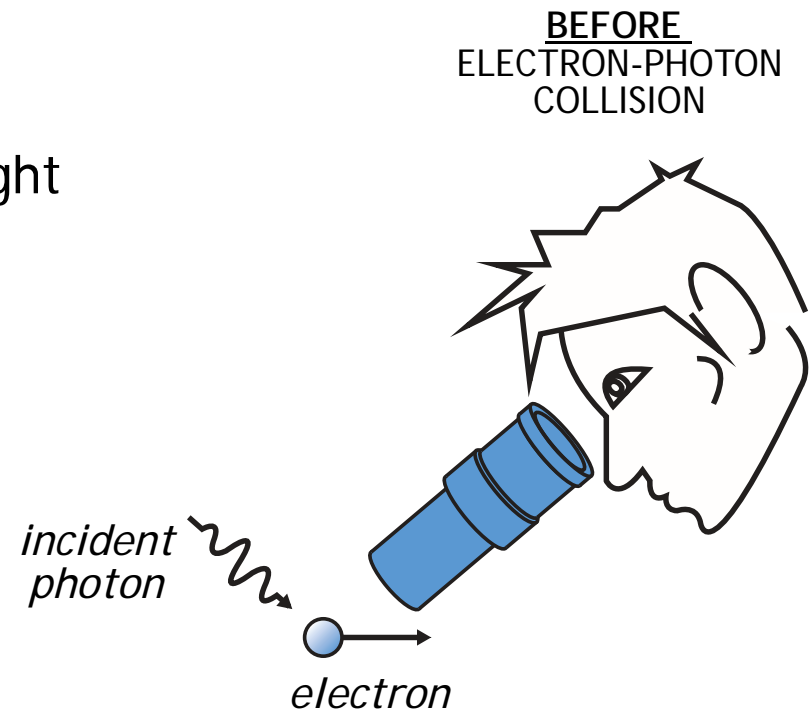


Werner Heisenberg (1901-1976)  
Image in the Public Domain



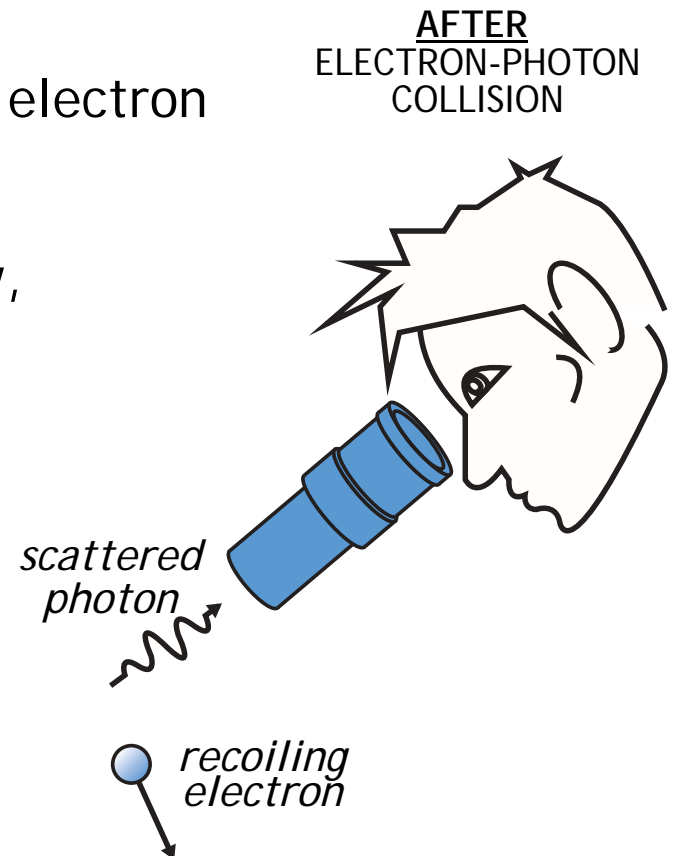
# Measuring Position and Momentum of an Electron

- Shine light on electron and detect reflected light using a microscope
- Minimum uncertainty in position is given by the wavelength of the light
- So to determine the position accurately, it is necessary to use light with a short wavelength



## Measuring Position and Momentum of an Electron

- By Planck's law  $E = hc/\lambda$ , a photon with a short wavelength has a large energy
- Thus, it would impart a large 'kick' to the electron
- But to determine its momentum accurately, electron must only be given a small kick
- This means using light of long wavelength !



## Implications

- It is impossible to know *both* the position and momentum exactly, i.e.,  $\Delta x=0$  and  $\Delta p=0$
- These uncertainties are inherent in the physical world and have nothing to do with the skill of the observer
- Because  $h$  is so small, these uncertainties are not observable in normal everyday situations

$$\hbar = 1.054 \times 10^{-34} \text{ [J} \cdot \text{s]}$$

## *Example of Baseball*

- A pitcher throws a 0.1-kg baseball at 40 m/s
- So momentum is  $0.1 \times 40 = 4 \text{ kg m/s}$
- Suppose the momentum is measured to an accuracy of 1 percent , i.e.,

$$\Delta p = 0.01 p = 4 \times 10^{-2} \text{ kg m/s}$$

## Example of Baseball (cont' d)

- The uncertainty in position is then

$$\Delta x \geq \frac{h}{4\pi\Delta p} = 1.3 \times 10^{-33} \text{m}$$

- No wonder one does not observe the effects of the uncertainty principle in everyday life!

## Example of Electron

- Same situation, but baseball replaced by an electron which has mass  $9.11 \times 10^{-31}$  kg traveling at 40 m/s
- So momentum =  $3.6 \times 10^{-29}$  kg m/s  
and its uncertainty =  $3.6 \times 10^{-31}$  kg m/s
- The uncertainty in position is then

$$\Delta x \geq \frac{h}{4\pi \Delta p} = 1.4 \times 10^{-4} \text{ m}$$

## *Classical World*

- The observer is objective and passive
- Physical events happen independently of whether there is an observer or not
- This is known as **objective reality**

## *Role of an Observer in Quantum Mechanics*

- The observer is *not* objective and passive
- The act of observation changes the physical system irrevocably
- This is known as **subjective reality**



One might ask:  
*“If light can behave like a particle,  
might particles act like waves”?*

YES !

Particles, like photons, also have a wavelength given by:

$$\lambda = h/p = h/mv$$

The wavelength of a particle depends on its momentum,  
just like a photon!

The main difference is that matter particles have mass,  
and photons don't!

## Matter Waves

Compute the wavelength of a 10 [g] bullet moving at 1000 [m/s].

$$\begin{aligned}\lambda &= h/mv = 6.6 \times 10^{-34} \text{ [J s]} / (0.01 \text{ [kg]})(1000 \text{ [m/s]}) \\ &= 6.6 \times 10^{-35} \text{ [m]}\end{aligned}$$

This is immeasurably small

For ordinary “everyday objects,”  
we don’t experience that

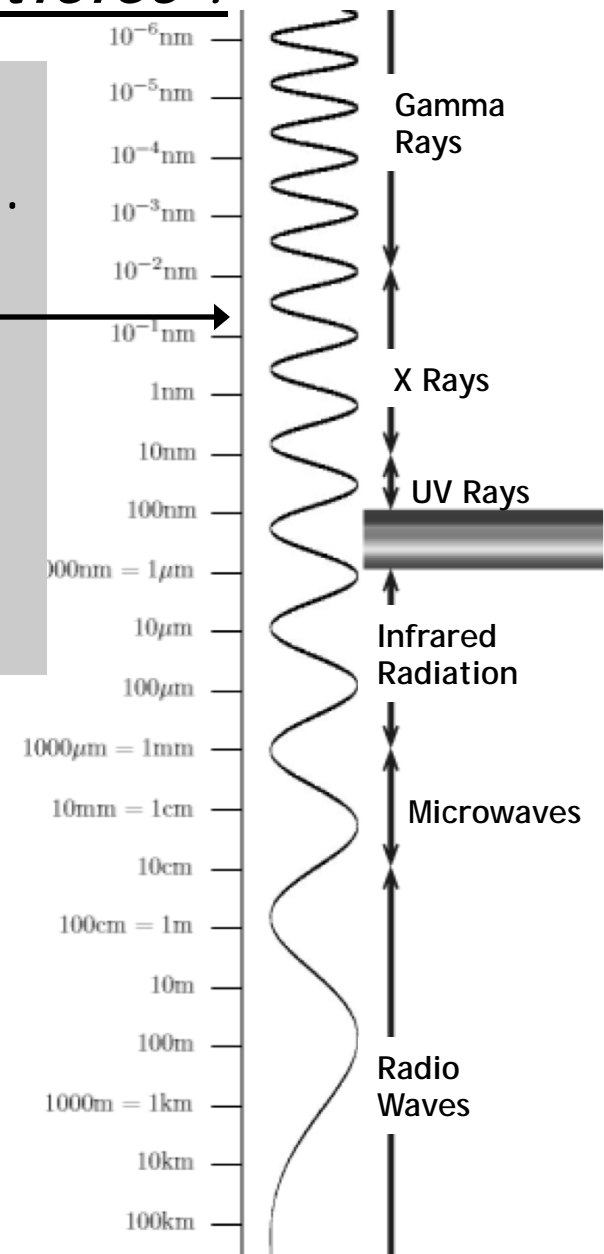
MATTER CAN BEHAVE AS A WAVE

## But, what about small particles ?

Compute the wavelength of an electron  
( $m = 9.1 \times 10^{-31}$  [kg]) moving at  $1 \times 10^7$  [m/s].

$$\begin{aligned}\lambda &= h/mv \\ &= 6.6 \times 10^{-34} \text{ [J s]} / (9.1 \times 10^{-31} \text{ [kg]})(1 \times 10^7 \text{ [m/s]}) \\ &= 7.3 \times 10^{-11} \text{ [m]}. \\ &= 0.073 \text{ [nm]}\end{aligned}$$

These electrons  
have a wavelength in the region  
of X-rays



## Wavelength versus Size

With a visible light microscope, we are limited to being able to resolve objects which are at least about  $0.5 \cdot 10^{-6} \text{ m} = 0.5 \mu\text{m} = 500 \text{ nm}$  in size.

This is because visible light, with a wavelength of  $\sim 500 \text{ nm}$  cannot resolve objects whose size is smaller than it's wavelength.



Image is in the public domain  
Bacteria, as viewed  
using visible light

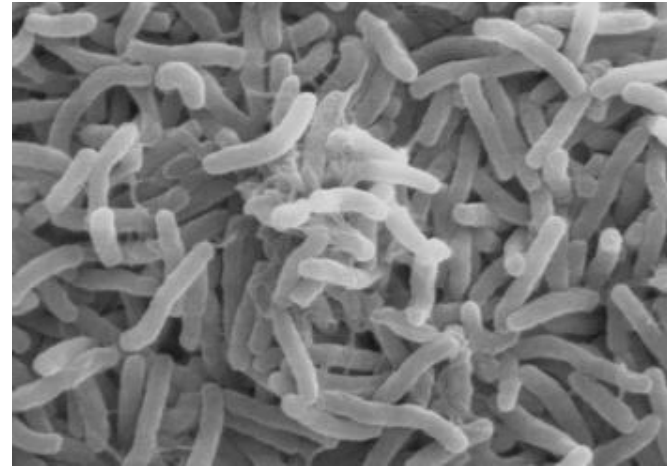


Image is in the public domain  
Bacteria, as viewed  
using electrons!

# *Electron Microscope*

The electron microscope is a device which uses the wave behavior of electrons to make images which are otherwise too small for visible light!

This image was taken with a Scanning Electron Microscope (SEM).

SEM can resolve features as small as 5 nm. This is about 100 times better than can be done with visible light microscopes!

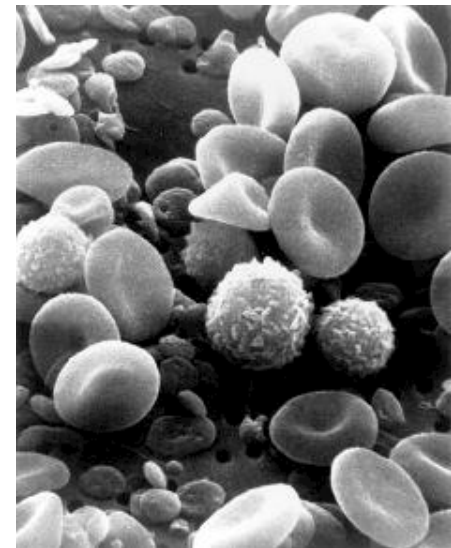
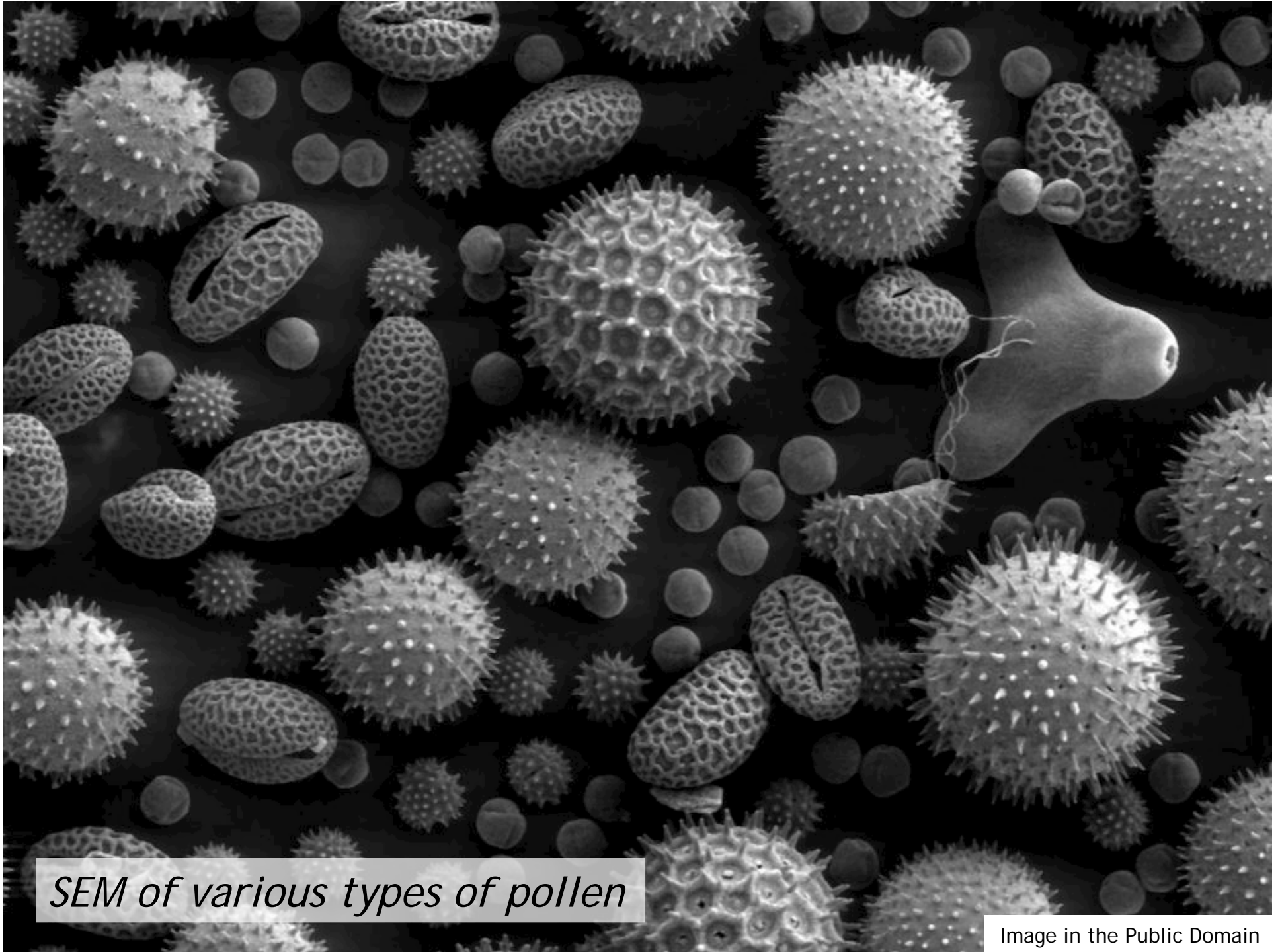


Image in the Public Domain

## **IMPORTANT POINT:**

High energy particles can be used to reveal the structure of matter !



*SEM of various types of pollen*

Image in the Public Domain



*SEM of an ant head*

Image in the Public Domain

## Summary

- ❑ Light is made up of photons, but in macroscopic situations it is often fine to treat it as a wave.

- ❑ Photons carry both energy & momentum.

$$E = hc/\lambda \quad p = E/c = h/\lambda$$

- ❑ Matter also exhibits wave properties. For an object of mass  $m$ , and velocity,  $v$ , the object has a wavelength,  $\lambda = h / mv$
- ❑ One can probe ‘see’ the fine details of matter by using high energy particles (they have a small wavelength !)



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