

Energy Flow in Semiconductor Devices and its Applications for Semiconductor Laser Diodes

6.772/SMA5111 Term Paper

Ronggui Yang

Massachusetts Institute of Technology
Cambridge, MA 02139

Courtesy of Ronggui Yang. Used with permission.

Outline

- ❖ Motivation
- ❖ Energy Source in Semiconductor Devices
- ❖ Internal Cooling in a p-n Diode
- ❖ Applications to Laser Design (ICICLE)
- ❖ Summary

Heat/Energy Flow in Semiconductor Devices

Mid-IR laser SOI MOSFET Thermoelectric Cooler

Smaller, Faster, Denser & more powerful  More Heat

Understanding heat/energy flow in semiconductor devices, helps understanding of:

- **Thermal-induced failure in semiconductor devices**
- **Energy conversion in thermoelectric / thermionic devices**

Approaches:

- **Coupled Electron-phonon Boltzmann Transport Equation**
- **Electrohydrodynamics or Energy Transport Equations**
- **Drift-Diffusion Equations (Thermodynamic Approach)**

Heating Effects in Semiconductor Lasers

Threshold current density (left axis) and lasing wavelength (right axis) versus operating temperature for $\lambda=1.2\mu\text{m}$ InGaAs laser.

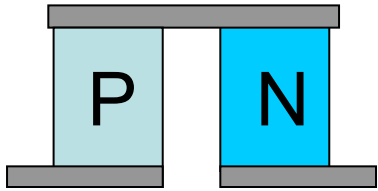
T. K. Sharma, et al. IEEE Phot. Tech. Lett. **14**, 887 (2002).

Maximum optical output power limited by active layer temperature rise

High temperature leads to:

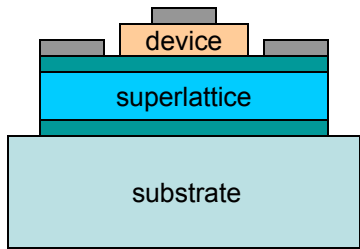
- Increased threshold current
- Wavelength drift
- Decreased output power
- Decreased device lifetime

Temperature Control in Optoelectronic Devices



Traditional BiTe Thermoelectric Cooler

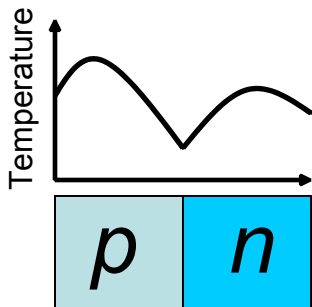
- Cooling power density $\sim 10 \text{ W/cm}^2$
- Difficult to integrate due to lack of processing technology
- Device mounted on cooler



Integrated Superlattice Cooler

- Cooling power density of several hundred W/cm^2
- Integration possible
- Cooler can be grown near device

A. Shakouri and J. E. Bowers, *Appl. Phys. Lett.* **71**, 1234 (1997)
R. Venkatasubramanian, et. al, *Nature* **413**, 597-602 (2001)
T.C. Harman, et al, *Science* **297**, 2229-2232 (2002)



Internal Cooling Effects

- Device structure is such that the operating current also produces cooling

K. P. Pipe, R. J. Ram, and A. Shakouri. *Phys. Rev. B*, Sept 15 2002.

Non-isothermal Carrier Flow

- Thermodynamics Approach

Transport under both Electrical and Temperature Field

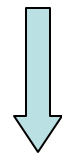
Particle Flux: $\vec{J}_h = \mu_h p (\nabla E_{fh} - P_h \nabla T)$ $\vec{J}_e = -\mu_e n (\nabla E_{fe} + P_e \nabla T)$

Current Flux: $J_h = e \vec{J}_h$ $J_e = -e \vec{J}_e$

Heat Flux: $\vec{J}_h^Q = e P_h T \vec{J}_h - k_h \nabla T$ $\vec{J}_e^Q = e P_e T \vec{J}_e - k_e \nabla T$

Energy Flux: $\vec{J}_h^u = \vec{J}_h^Q - e E_{fh} \vec{J}_h$ $\vec{J}_e^u = \vec{J}_e^Q + e E_{fe} \vec{J}_e$

Energy Conservation Equation: $\frac{\partial u}{\partial t} + \nabla \cdot \vec{J}^u = 0$



Energy Source

Heat Conduction Equation: $C \frac{\partial T}{\partial t} = \nabla \cdot (k \nabla T) + \dot{q}$

Energy Source in Semiconductor Devices

$$\dot{q} = -e\nabla[(\pi_e + E_{fe})\vec{J}_e + (\pi_h + E_{fh})\vec{J}_h] + e[E_{fh} - T \frac{\partial E_{fh}}{\partial T}] \frac{dp}{dt} - e[E_{fe} - T \frac{\partial E_{fe}}{\partial T}] \frac{dn}{dt}$$

Definition of Peltier Coefficient:

$$\pi = PT = \frac{\int v^2 \tau (E - E_f) \frac{\partial f_o}{\partial E} D(E) dE}{e \int v^2 \tau \frac{\partial f_o}{\partial E} D(E) dE}$$

Maxwell-Boltzmann

$$\pi_e = P_e T = \frac{E_c - E_{fe}}{k_B T} + \frac{3}{2} k_B T + \pi_e^0 \quad \pi_h = P_h T = \frac{E_{fh} - E_v}{k_B T} + \frac{3}{2} k_B T + \pi_h^0$$

where

$$\pi_e^0 = (r+1) \frac{k_B T}{e} \quad \pi_h^0 = (r+1) \frac{k_B T}{e}$$

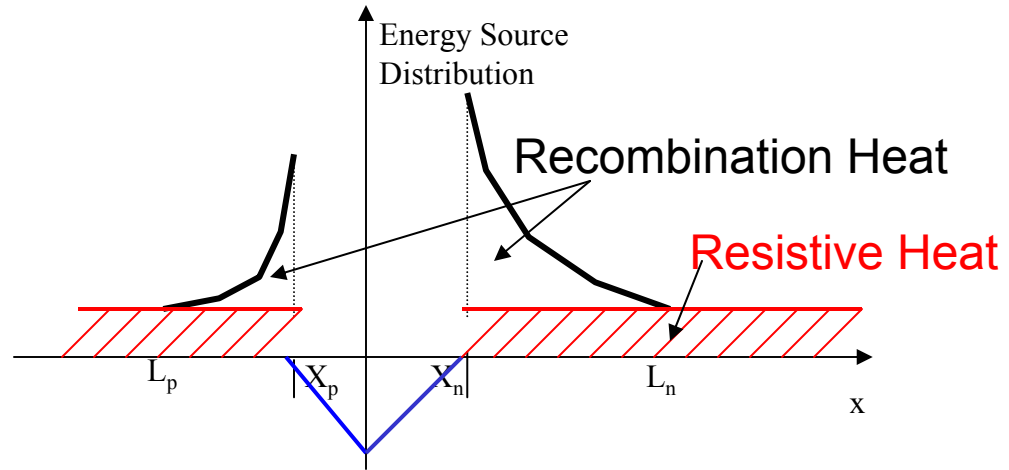
This r is related to the energy-dependent electron relaxation time
 $r = -1/2$ for acoustic-phonon scattering and $r = 3/2$ for impurity scattering

Steady State, Homojunction

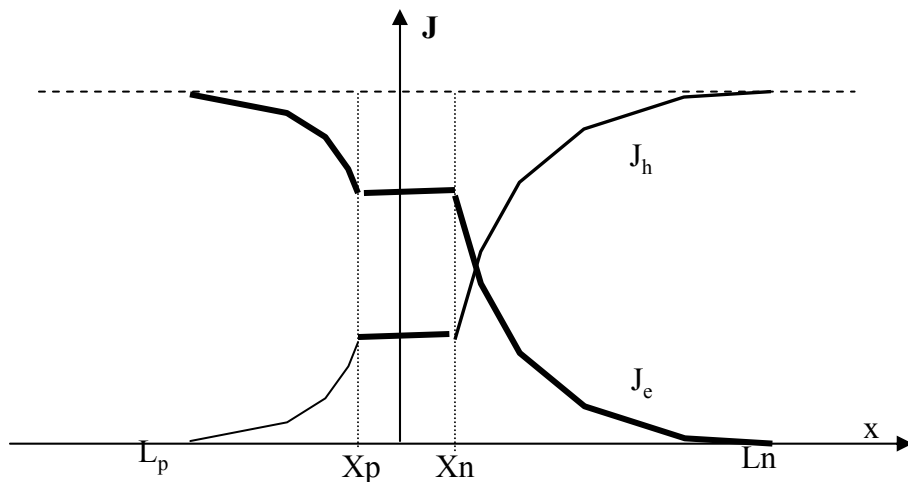
$$\dot{q} = -(E_g + 3k_B T) \nabla \vec{J}_e - \nabla(\pi_h^0 \vec{J}_h + \pi_e^0 \vec{J}_e) + \frac{\nabla E_v}{e} J$$

Recombination Thompson Resistive heat

p-n Diode under Forward Bias



Cooling at junction (SCR)

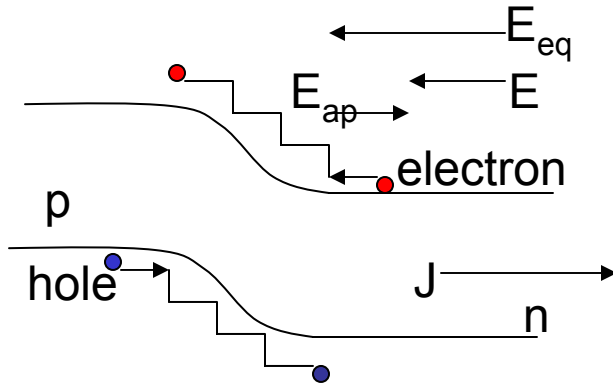


Increase Net Cooling

- Short diodes
- Radiative recombination

Why & How Large is the Cooling

Cooling at the Junction



- Every junction causes heating or cooling.
- Cooling at the *p-n* junction is bias-dependent

- ✓ Cooling under forward bias
- ✓ Heating under reverse bias

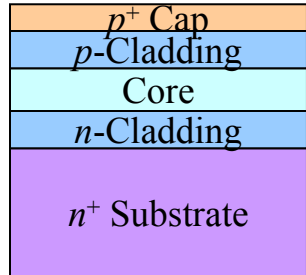
In SCR: $\dot{q} = \vec{E} \cdot \vec{J}$

Total Cooling in the junction:

$$Q = \int_{-x_p}^{x_n} \dot{q} dx = \frac{1}{2} E_{\max} J_{\max}$$

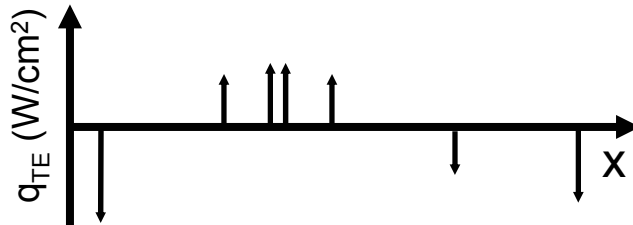
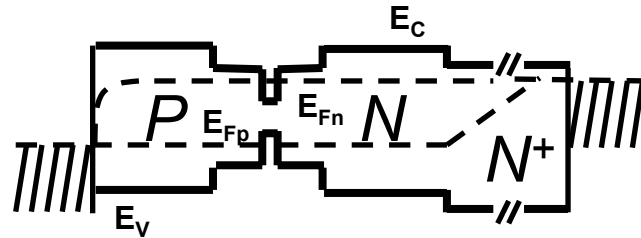
$$= J(\phi_{bi} - V)$$

Optimizing Cooling Effect in Lasers

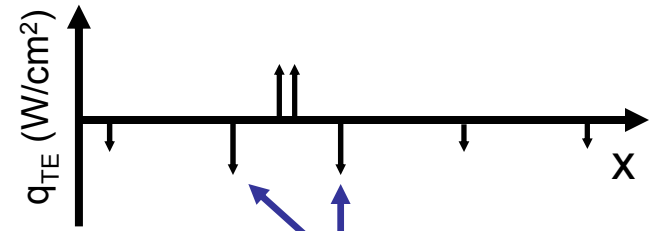
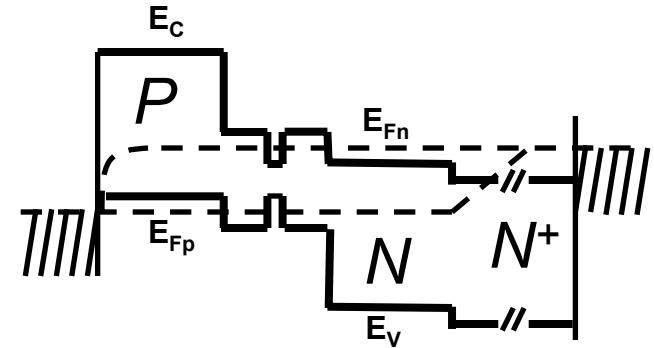


Structure

Conventional SCH



Injection Current Internally Cooled Light Emitter



Active region cooling

Conclusions

- Thermal effects is very important for active devices, such as transistors and lasers
- Energy source term is derived based on the thermodynamic approach
- Internal cooling effect is found in p-n diode under forward bias
- The internal cooling effect has been utilized for semiconductor laser design.

Thank you for your attention!