

5. PARABOLIC INDUCTION AND RESTRICTION FUNCTORS FOR RATIONAL CHEREDNIK ALGEBRAS

**5.1. A geometric approach to rational Cherednik algebras.** An important property of the rational Cherednik algebra  $H_{1,c}(G, \mathfrak{h})$  is that it can be sheafified, as an algebra, over  $\mathfrak{h}/G$  (see [E1]). More specifically, the usual sheafification of  $H_{1,c}(G, \mathfrak{h})$  as a  $\mathcal{O}_{\mathfrak{h}/G}$ -module is in fact a quasicoherent sheaf of algebras,  $H_{1,c,G,\mathfrak{h}}$ . Namely, for every affine open subset  $U \subset \mathfrak{h}/G$ , the algebra of sections  $H_{1,c,G,\mathfrak{h}}(U)$  is, by definition,  $\mathbb{C}[U] \otimes_{\mathbb{C}[\mathfrak{h}]^G} H_{1,c}(G, \mathfrak{h})$ .

The same sheaf can be defined more geometrically as follows (see [E1], Section 2.9). Let  $\tilde{U}$  be the preimage of  $U$  in  $\mathfrak{h}$ . Then the algebra  $H_{1,c,G,\mathfrak{h}}(U)$  is the algebra of linear operators on  $\mathcal{O}(\tilde{U})$  generated by  $\mathcal{O}(\tilde{U})$ , the group  $G$ , and Dunkl operators

$$\partial_a - \sum_{s \in \mathcal{S}} \frac{2c_s}{1 - \lambda_s} \frac{\alpha_s(a)}{\alpha_s} (1 - s), \text{ where } a \in \mathfrak{h}.$$

**5.2. Completion of rational Cherednik algebras.** For any  $b \in \mathfrak{h}$  we can define the completion  $\widehat{H}_{1,c}(G, \mathfrak{h})_b$  to be the algebra of sections of the sheaf  $H_{1,c,G,\mathfrak{h}}$  on the formal neighborhood of the image of  $b$  in  $\mathfrak{h}/G$ . Namely,  $\widehat{H}_{1,c}(G, \mathfrak{h})_b$  is generated by regular functions on the formal neighborhood of the  $G$ -orbit of  $b$ , the group  $G$ , and Dunkl operators.

The algebra  $\widehat{H}_{1,c}(G, \mathfrak{h})_b$  inherits from  $H_{1,c}(G, \mathfrak{h})$  the natural filtration  $F^\bullet$  by order of differential operators, and each of the spaces  $F^n \widehat{H}_{1,c}(G, \mathfrak{h})_b$  has a projective limit topology; the whole algebra is then equipped with the topology of the nested union (or inductive limit).

Consider the completion of the rational Cherednik algebra at zero,  $\widehat{H}_{1,c}(G, \mathfrak{h})_0$ . It naturally contains the algebra  $\mathbb{C}[[\mathfrak{h}]]$ . Define the category  $\widehat{\mathcal{O}}_c(G, \mathfrak{h})$  of representations of  $\widehat{H}_{1,c}(G, \mathfrak{h})_0$  which are finitely generated over  $\mathbb{C}[[\mathfrak{h}]]_0 = \mathbb{C}[[\mathfrak{h}]]$ .

We have a completion functor  $\widehat{\cdot}: \mathcal{O}_c(G, \mathfrak{h}) \rightarrow \widehat{\mathcal{O}}_c(G, \mathfrak{h})$ , defined by

$$\widehat{M} = \widehat{H}_{1,c}(G, \mathfrak{h})_0 \otimes_{H_{1,c}(G,\mathfrak{h})} M = \mathbb{C}[[\mathfrak{h}]] \otimes_{\mathbb{C}[\mathfrak{h}]} M.$$

Also, for  $N \in \widehat{\mathcal{O}}_c(G, \mathfrak{h})$ , let  $E(N)$  be the subspace spanned by generalized eigenvectors of  $\mathfrak{h}$  in  $N$  where  $\mathfrak{h}$  is defined by (3.2). Then it is easy to see that  $E(N) \in \mathcal{O}_c(G, \mathfrak{h})_0$ .

**Theorem 5.1.** *The restriction of the completion functor  $\widehat{\cdot}$  to  $\mathcal{O}_c(G, \mathfrak{h})_0$  is an equivalence of categories  $\mathcal{O}_c(G, \mathfrak{h})_0 \rightarrow \widehat{\mathcal{O}}_c(G, \mathfrak{h})$ . The inverse equivalence is given by the functor  $E$ .*

*Proof.* It is clear that  $M \subset \widehat{M}$ , so  $M \subset E(\widehat{M})$  (as  $M$  is spanned by generalized eigenvectors of  $\mathfrak{h}$ ). Let us demonstrate the opposite inclusion. Pick generators  $m_1, \dots, m_r$  of  $M$  which are generalized eigenvectors of  $\mathfrak{h}$  with eigenvalues  $\mu_1, \dots, \mu_r$ . Let  $0 \neq v \in E(\widehat{M})$ . Then  $v = \sum_i f_i m_i$ , where  $f_i \in \mathbb{C}[[\mathfrak{h}]]$ . Assume that  $(\mathfrak{h} - \mu)^N v = 0$  for some  $N$ . Then  $v = \sum_i f_i^{(\mu - \mu_i)} m_i$ , where for  $f \in \mathbb{C}[[\mathfrak{h}]]$  we denote by  $f^{(d)}$  the degree  $d$  part of  $f$ . Thus  $v \in M$ , so  $M = E(\widehat{M})$ .

It remains to show that  $\widehat{E(N)} = N$ , i.e. that  $N$  is the closure of  $E(N)$ . In other words, letting  $\mathfrak{m}$  denote the maximal ideal in  $\mathbb{C}[[\mathfrak{h}]]$ , we need to show that the natural map  $E(N) \rightarrow N/\mathfrak{m}^j N$  is surjective for every  $j$ .

To do so, note that  $\mathfrak{h}$  preserves the descending filtration of  $N$  by subspaces  $\mathfrak{m}^j N$ . On the other hand, the successive quotients of these subspaces,  $\mathfrak{m}^j N/\mathfrak{m}^{j+1} N$ , are finite dimensional, which implies that  $\mathfrak{h}$  acts locally finitely on their direct sum  $\text{gr} N$ , and moreover each

generalized eigenspace is finite dimensional. Now for each  $\beta \in \mathbb{C}$  denote by  $N_{j,\beta}$  the generalized  $\beta$ -eigenspace of  $\mathfrak{h}$  in  $N/\mathfrak{m}^j N$ . We have surjective homomorphisms  $N_{j+1,\beta} \rightarrow N_{j,\beta}$ , and for large enough  $j$  they are isomorphisms. This implies that the map  $E(N) \rightarrow N/\mathfrak{m}^j N$  is surjective for every  $j$ , as desired.  $\square$

**Example.** Suppose that  $c = 0$ . Then Theorem 5.1 specializes to the well known fact that the category of  $G$ -equivariant local systems on  $\mathfrak{h}$  with a locally nilpotent action of partial differentiations is equivalent to the category of all  $G$ -equivariant local systems on the formal neighborhood of zero in  $\mathfrak{h}$ . In fact, both categories in this case are equivalent to the category of finite dimensional representations of  $G$ .

We can now define the composition functor  $\mathcal{J} : \mathcal{O}_c(G, \mathfrak{h}) \rightarrow \mathcal{O}_c(G, \mathfrak{h})_0$ , by the formula  $\mathcal{J}(M) = E(\widehat{M})$ . The functor  $\mathcal{J}$  is called the Jacquet functor ([Gi2]).

**5.3. The duality functor.** Recall that in Section 3.11, for any  $H_{1,c}(G, \mathfrak{h})$ -module  $M$ , the full dual space  $M^*$  is naturally an  $H_{1,\bar{c}}(G, \mathfrak{h}^*)$ -module, via  $\pi_{M^*}(a) = \pi_M(\gamma(a))^*$ .

It is clear that the duality functor  $*$  defines an equivalence between the category  $\mathcal{O}_c(G, \mathfrak{h})_0$  and  $\widehat{\mathcal{O}}_c(G, \mathfrak{h}^*)^{\text{op}}$ , and that  $M^\dagger = E(M^*)$  (where  $M^\dagger$  is the contragredient, or restricted dual module to  $M$  defined in Section 3.11).

#### 5.4. Generalized Jacquet functors.

**Proposition 5.2.** *For any  $M \in \widehat{\mathcal{O}}_c(G, \mathfrak{h})$ , a vector  $v \in M$  is  $\mathfrak{h}$ -finite if and only if it is  $\mathfrak{h}$ -nilpotent.*

*Proof.* The “if” part follows from Theorem 3.20. To prove the “only if” part, assume that  $(\mathfrak{h} - \mu)^N v = 0$ . Then for any  $u \in S^r \mathfrak{h} \cdot v$ , we have  $(\mathfrak{h} - \mu + r)^N u = 0$ . But by Theorem 5.1, the real parts of generalized eigenvalues of  $\mathfrak{h}$  in  $M$  are bounded below. Hence  $S^r \mathfrak{h} \cdot v = 0$  for large enough  $r$ , as desired.  $\square$

According to Proposition 5.2, the functor  $E$  can be alternatively defined by setting  $E(M)$  to be the subspace of  $M$  which is locally nilpotent under the action of  $\mathfrak{h}$ .

This gives rise to the following generalization of  $E$ : for any  $\lambda \in \mathfrak{h}^*$  we define the functor  $E_\lambda : \widehat{\mathcal{O}}_c(G, \mathfrak{h}) \rightarrow \mathcal{O}_c(G, \mathfrak{h})_\lambda$  by setting  $E_\lambda(M)$  to be the space of generalized eigenvectors of  $\mathbb{C}[\mathfrak{h}^*]^G$  in  $M$  with eigenvalue  $\lambda$ . This way, we have  $E_0 = E$ .

We can also define the generalized Jacquet functor  $\mathcal{J}_\lambda : \mathcal{O}_c(G, \mathfrak{h}) \rightarrow \mathcal{O}_c(G, \mathfrak{h})_\lambda$  by the formula  $\mathcal{J}_\lambda(M) = E_\lambda(\widehat{M})$ . Then we have  $\mathcal{J}_0 = \mathcal{J}$ , and one can show that the restriction of  $\mathcal{J}_\lambda$  to  $\mathcal{O}_c(G, \mathfrak{h})_\lambda$  is the identity functor.

**5.5. The centralizer construction.** For a finite group  $H$ , let  $\mathbf{e}_H = |H|^{-1} \sum_{g \in H} g$  be the symmetrizer of  $H$ .

If  $G \supset H$  are finite groups, and  $A$  is an algebra containing  $\mathbb{C}[H]$ , then define the algebra  $Z(G, H, A)$  to be the centralizer  $\text{End}_A(P)$  of  $A$  in the right  $A$ -module  $P = \text{Fun}_H(G, A)$  of  $H$ -invariant  $A$ -valued functions on  $G$ , i.e. such functions  $f : G \rightarrow A$  that  $f(hg) = hf(g)$ . Clearly,  $P$  is a free  $A$ -module of rank  $|G/H|$ , so the algebra  $Z(G, H, A)$  is isomorphic to  $\text{Mat}_{|G/H|}(A)$ , but this isomorphism is not canonical.

The following lemma is trivial.

**Lemma 5.3.** *The functor  $N \mapsto I(N) := P \otimes_A N = \text{Fun}_H(G, N)$  defines an equivalence of categories  $A\text{-mod} \rightarrow Z(G, H, A)\text{-mod}$ .*

5.6. **Completion of rational Cherednik algebras at arbitrary points of  $\mathfrak{h}/G$ .** The following result is, in essence, a consequence of the geometric approach to rational Cherednik algebras, described in Subsection 5.1. It should be regarded as a direct generalization to the case of Cherednik algebras of Theorem 8.6 of [L] for affine Hecke algebras.

Let  $b \in \mathfrak{h}$ . Abusing notation, denote the restriction of  $c$  to the set  $\mathcal{S}_b$  of reflections in  $G_b$  also by  $c$ .

**Theorem 5.4.** *One has a natural isomorphism*

$$\theta : \widehat{H}_{1,c}(G, \mathfrak{h})_b \rightarrow Z(G, G_b, \widehat{H}_{1,c}(G_b, \mathfrak{h})_0),$$

defined by the following formulas. Suppose that  $f \in P = \text{Fun}_{G_b}(G, \widehat{H}_{1,c}(G_b, \mathfrak{h})_0)$ . Then

$$(\theta(u)f)(w) = f(wu), u \in G;$$

for any  $\alpha \in \mathfrak{h}^*$ ,

$$(\theta(x_\alpha)f)(w) = (x_{w\alpha}^{(b)} + (w\alpha, b))f(w),$$

where  $x_\alpha \in \mathfrak{h}^* \subset H_{1,c}(G, \mathfrak{h})$ ,  $x_\alpha^{(b)} \in \mathfrak{h}^* \subset H_{1,c}(G_b, \mathfrak{h})$  are the elements corresponding to  $\alpha$ ; and for any  $a \in \mathfrak{h}$ ,

$$(5.1) \quad (\theta(y_a)f)(w) = y_{wa}^{(b)}f(w) - \sum_{s \in \mathcal{S}: s \notin G_b} \frac{2c_s}{1 - \lambda_s} \frac{\alpha_s(wa)}{x_{\alpha_s}^{(b)} + \alpha_s(b)} (f(w) - f(sw)).$$

where  $y_a \in \mathfrak{h} \subset H_{1,c}(G, \mathfrak{h})$ ,  $y_a^{(b)} \in \mathfrak{h} \subset H_{1,c}(G_b, \mathfrak{h})$ .

*Proof.* The proof is by a direct computation. We note that in the last formula, the fraction  $\alpha_s(wa)/(x_{\alpha_s}^{(b)} + \alpha_s(b))$  is viewed as a power series (i.e., an element of  $\mathbb{C}[[\mathfrak{h}]]$ ), and that only the entire sum, and not each summand separately, is in the centralizer algebra.  $\square$

**Remark.** Let us explain how to see the existence of  $\theta$  without writing explicit formulas, and how to guess the formula (5.1) for  $\theta$ . It is explained in [E1] (see e.g. [E1], Section 2.9) that the sheaf of algebras obtained by sheafification of  $H_{1,c}(G, \mathfrak{h})$  over  $\mathfrak{h}/G$  is generated (on every affine open set in  $\mathfrak{h}/G$ ) by regular functions on  $\mathfrak{h}$ , elements of  $G$ , and Dunkl operators. Therefore, this statement holds for formal neighborhoods, i.e., it is true on the formal neighborhood of the image in  $\mathfrak{h}/G$  of any point  $b \in \mathfrak{h}$ . However, looking at the formula for Dunkl operators near  $b$ , we see that the summands corresponding to  $s \in \mathcal{S}, s \notin G_b$  are actually regular at  $b$ , so they can be safely deleted without changing the generated algebra (as all regular functions on the formal neighborhood of  $b$  are included into the system of generators). But after these terms are deleted, what remains is nothing but the Dunkl operators for  $(G_b, \mathfrak{h})$ , which, together with functions on the formal neighborhood of  $b$  and the group  $G_b$ , generate the completion of  $H_{1,c}(G_b, \mathfrak{h})$ . This gives a construction of  $\theta$  without using explicit formulas.

Also, this argument explains why  $\theta$  should be defined by formula (5.1) of Theorem 5.4. Indeed, what this formula does is just restores the terms with  $s \notin G_b$  that have been previously deleted.

The map  $\theta$  defines an equivalence of categories

$$\theta_* : \widehat{H}_{1,c}(G, \mathfrak{h})_b - \text{mod} \rightarrow Z(G, G_b, \widehat{H}_{1,c}(G_b, \mathfrak{h})_0) - \text{mod}.$$

**Corollary 5.5.** *We have a natural equivalence of categories*

$$\psi_\lambda : \mathcal{O}_c(G, \mathfrak{h})_\lambda \rightarrow \mathcal{O}_c(G_\lambda, \mathfrak{h}/\mathfrak{h}^{G_\lambda})_0.$$

*Proof.* The category  $\mathcal{O}_c(G, \mathfrak{h})_\lambda$  is the category of modules over  $H_{1,c}(G, \mathfrak{h})$  which are finitely generated over  $\mathbb{C}[\mathfrak{h}]$  and extend by continuity to the completion of the algebra  $H_{1,c}(G, \mathfrak{h})$  at  $\lambda$ . So it follows from Theorem 5.4 that we have an equivalence  $\mathcal{O}_c(G, \mathfrak{h})_\lambda \rightarrow \mathcal{O}_c(G_\lambda, \mathfrak{h})_0$ . Composing this equivalence with the equivalence  $\zeta : \mathcal{O}_c(G_\lambda, \mathfrak{h})_0 \rightarrow \mathcal{O}_c(G_\lambda, \mathfrak{h}/\mathfrak{h}^{G_\lambda})_0$ , we obtain the desired equivalence  $\psi_\lambda$ .  $\square$

**Remark 5.6.** Note that in this proof, we take the completion of  $H_{1,c}(G, \mathfrak{h})$  at a point of  $\lambda \in \mathfrak{h}^*$  rather than  $b \in \mathfrak{h}$ .

**5.7. The completion functor.** Let  $\widehat{\mathcal{O}}_c(G, \mathfrak{h})^b$  be the category of modules over  $\widehat{H}_{1,c}(G, \mathfrak{h})_b$  which are finitely generated over  $\widehat{\mathbb{C}[\mathfrak{h}]_b}$ .

**Proposition 5.7.** *The duality functor  $*$  defines an anti-equivalence of categories  $\mathcal{O}_c(G, \mathfrak{h})_\lambda \rightarrow \widehat{\mathcal{O}}_c(G, \mathfrak{h}^*)^\lambda$ .*

*Proof.* This follows from the fact (already mentioned above) that  $\mathcal{O}_c(G, \mathfrak{h})_\lambda$  is the category of modules over  $H_{1,c}(G, \mathfrak{h})$  which are finitely generated over  $\mathbb{C}[\mathfrak{h}]$  and extend by continuity to the completion of the algebra  $H_{1,c}(G, \mathfrak{h})$  at  $\lambda$ .  $\square$

Let us denote the functor inverse to  $*$  also by  $*$ ; it is the functor of continuous dual (in the formal series topology).

We have an exact functor of completion at  $b$ ,  $\mathcal{O}_c(G, \mathfrak{h})_0 \rightarrow \widehat{\mathcal{O}}_c(G, \mathfrak{h})^b$ ,  $M \mapsto \widehat{M}_b$ . We also have a functor  $E^b : \widehat{\mathcal{O}}_c(G, \mathfrak{h})^b \rightarrow \mathcal{O}_c(G, \mathfrak{h})_0$  in the opposite direction, sending a module  $N$  to the space  $E^b(N)$  of  $\mathfrak{h}$ -nilpotent vectors in  $N$ .

**Proposition 5.8.** *The functor  $E^b$  is right adjoint to the completion functor  $\widehat{\phantom{x}}_b$ .*

*Proof.* We have

$$\begin{aligned} \text{Hom}_{\widehat{H}_{1,c}(G, \mathfrak{h})_b}(\widehat{M}_b, N) &= \text{Hom}_{\widehat{H}_{1,c}(G, \mathfrak{h})_b}(\widehat{H}_{1,c}(G, \mathfrak{h})_b \otimes_{H_{1,c}(G, \mathfrak{h})} M, N) \\ &= \text{Hom}_{H_{1,c}(G, \mathfrak{h})}(M, N|_{H_{1,c}(G, \mathfrak{h})}) = \text{Hom}_{H_{1,c}(G, \mathfrak{h})}(M, E^b(N)). \end{aligned}$$

$\square$

**Remark 5.9.** Recall that by Theorem 5.1, if  $b = 0$  then these functors are not only adjoint but also inverse to each other.

**Proposition 5.10.** (i) *For  $M \in \mathcal{O}_c(G, \mathfrak{h}^*)_b$ , one has  $E^b(M^*) = (\widehat{M})^*$  in  $\mathcal{O}_c(G, \mathfrak{h})_0$ .*

(ii) *For  $M \in \mathcal{O}_c(G, \mathfrak{h})_0$ ,  $(\widehat{M}_b)^* = E_b(M^*)$  in  $\mathcal{O}_c(G, \mathfrak{h}^*)_b$ .*

(iii) *The functors  $E_b$ ,  $E^b$  are exact.*

*Proof.* (i),(ii) are straightforward from the definitions. (iii) follows from (i),(ii), since the completion functors are exact.  $\square$

### 5.8. Parabolic induction and restriction functors for rational Cherednik algebras.

Theorem 5.4 allows us to define analogs of parabolic restriction functors for rational Cherednik algebras.

Namely, let  $b \in \mathfrak{h}$ , and  $G_b = G'$ . Define a functor  $\text{Res}_b : \mathcal{O}_c(G, \mathfrak{h})_0 \rightarrow \mathcal{O}_c(G', \mathfrak{h}/\mathfrak{h}^{G'})_0$  by the formula

$$\text{Res}_b(M) = (\zeta \circ E \circ I^{-1} \circ \theta_*)(\widehat{M}_b).$$

We can also define the parabolic induction functors in the opposite direction. Namely, let  $N \in \mathcal{O}_c(G', \mathfrak{h}/\mathfrak{h}^{G'})_0$ . Then we can define the object  $\text{Ind}_b(N) \in \mathcal{O}_c(G, \mathfrak{h})_0$  by the formula

$$\text{Ind}_b(N) = (E^b \circ \theta_*^{-1} \circ I)(\widehat{\zeta^{-1}(N)}_0).$$

**Proposition 5.11.** (i) *The functors  $\text{Ind}_b$ ,  $\text{Res}_b$  are exact.*

(ii) *One has  $\text{Ind}_b(\text{Res}_b(M)) = E^b(\widehat{M}_b)$ .*

*Proof.* Part (i) follows from the fact that the functor  $E^b$  and the completion functor  $\widehat{\phantom{x}}_b$  are exact (see Proposition 5.10). Part (ii) is straightforward from the definition.  $\square$

**Theorem 5.12.** *The functor  $\text{Ind}_b$  is right adjoint to  $\text{Res}_b$ .*

*Proof.* We have

$$\begin{aligned} \text{Hom}(\text{Res}_b(M), N) &= \text{Hom}((\zeta \circ E \circ I^{-1} \circ \theta_*)(\widehat{M}_b), N) = \text{Hom}((E \circ I^{-1} \circ \theta_*)(\widehat{M}_b), \zeta^{-1}(N)) \\ &= \text{Hom}((I^{-1} \circ \theta_*)(\widehat{M}_b), \widehat{\zeta^{-1}(N)}_0) = \text{Hom}(\widehat{M}_b, (\theta_*^{-1} \circ I)(\widehat{\zeta^{-1}(N)}_0)) \\ &= \text{Hom}(M, (E^b \circ \theta_*^{-1} \circ I)(\widehat{\zeta^{-1}(N)}_0)) = \text{Hom}(M, \text{Ind}_b(N)). \end{aligned}$$

At the end we used Proposition 5.8.  $\square$

Then we can obtain the following corollary easily.

**Corollary 5.13.** *The functor  $\text{Res}_b$  maps projective objects to projective ones, and the functor  $\text{Ind}_b$  maps injective objects to injective ones.*

We can also define functors  $\text{res}_\lambda : \mathcal{O}_c(G, \mathfrak{h})_0 \rightarrow \mathcal{O}_c(G', \mathfrak{h}/\mathfrak{h}^{G'})_0$  and  $\text{ind}_\lambda : \mathcal{O}_c(G', \mathfrak{h}/\mathfrak{h}^{G'})_0 \rightarrow \mathcal{O}_c(G, \mathfrak{h})_0$ , attached to  $\lambda \in \mathfrak{h}_{\text{reg}}^{*G'}$ , by

$$\text{res}_\lambda := \dagger \circ \text{Res}_\lambda \circ \dagger, \quad \text{ind}_\lambda := \dagger \circ \text{Ind}_\lambda \circ \dagger,$$

where  $\dagger$  is as in Subsection 5.3.

**Corollary 5.14.** *The functors  $\text{res}_\lambda$ ,  $\text{ind}_\lambda$  are exact. The functor  $\text{ind}_\lambda$  is left adjoint to  $\text{res}_\lambda$ . The functor  $\text{ind}_\lambda$  maps projective objects to projective ones, and the functor  $\text{res}_\lambda$  injective objects to injective ones.*

*Proof.* Easy to see from the definition of the functors and the Theorem 5.12.  $\square$

We also have the following proposition, whose proof is straightforward.

**Proposition 5.15.** *We have*

$$\text{ind}_\lambda(N) = (\mathcal{J} \circ \psi_\lambda^{-1})(N), \quad \text{and} \quad \text{res}_\lambda(M) = (\psi_\lambda \circ E_\lambda)(\widehat{M}),$$

where  $\psi_\lambda$  is defined in Corollary 5.5.

**5.9. Some evaluations of the parabolic induction and restriction functors.** For generic  $c$ , the category  $\mathcal{O}_c(G, \mathfrak{h})$  is semisimple, and naturally equivalent to the category  $\text{Rep}G$  of finite dimensional representations of  $G$ , via the functor  $\tau \mapsto M_c(G, \mathfrak{h}, \tau)$ . (If  $G$  is a Coxeter group, the exact set of such  $c$  (which are called regular) is known from [GGOR] and [Gy]).

**Proposition 5.16.** (i) *Suppose that  $c$  is generic. Upon the above identification, the functors  $\text{Ind}_b$ ,  $\text{ind}_\lambda$  and  $\text{Res}_b$ ,  $\text{res}_\lambda$  go to the usual induction and restriction functors between categories  $\text{Rep}G$  and  $\text{Rep}G'$ . In other words, we have*

$$\text{Res}_b(M_c(G, \mathfrak{h}, \tau)) = \bigoplus_{\xi \in \widehat{G'}} n_{\tau\xi} M_c(G', \mathfrak{h}/\mathfrak{h}^{G'}, \xi),$$

and

$$\text{Ind}_b(M_c(G', \mathfrak{h}/\mathfrak{h}^{G'}, \xi)) = \bigoplus_{\tau \in \widehat{G'}} n_{\tau\xi} M_c(G, \mathfrak{h}, \tau),$$

where  $n_{\tau\xi}$  is the multiplicity of occurrence of  $\xi$  in  $\tau|_{G'}$ , and similarly for  $\text{res}_\lambda$ ,  $\text{ind}_\lambda$ .

(ii) *The equations of (i) hold at the level of Grothendieck groups for all  $c$ .*

*Proof.* Part (i) is easy for  $c = 0$ , and is obtained for generic  $c$  by a deformation argument. Part (ii) is also obtained by deformation argument, taking into account that the functors  $\text{Res}_b$  and  $\text{Ind}_b$  are exact and flat with respect to  $c$ .  $\square$

**Example 5.17.** Suppose that  $G' = 1$ . Then  $\text{Res}_b(M)$  is the fiber of  $M$  at  $b$ , while  $\text{Ind}_b(\mathbb{C}) = P_{KZ}$ , the object defined in [GGOR], which is projective and injective (see Remark 5.22). This shows that Proposition 5.16 (i) does not hold for special  $c$ , as  $P_{KZ}$  is not, in general, a direct sum of standard modules.

**5.10. Dependence of the functor  $\text{Res}_b$  on  $b$ .** Let  $G' \subset G$  be a parabolic subgroup. In the construction of the functor  $\text{Res}_b$ , the point  $b$  can be made a variable which belongs to the open set  $\mathfrak{h}_{\text{reg}}^{G'}$ .

Namely, let  $\widehat{\mathfrak{h}_{\text{reg}}^{G'}}$  be the formal neighborhood of the locally closed set  $\mathfrak{h}_{\text{reg}}^{G'}$  in  $\mathfrak{h}$ , and let  $\pi : \widehat{\mathfrak{h}_{\text{reg}}^{G'}} \rightarrow \mathfrak{h}/G$  be the natural map (note that this map is an étale covering of the image with the Galois group  $N_G(G')/G'$ , where  $N_G(G')$  is the normalizer of  $G'$  in  $G$ ). Let  $\widehat{H}_{1,c}(G, \mathfrak{h})_{\mathfrak{h}_{\text{reg}}^{G'}}$  be the pullback of the sheaf  $H_{1,c,G,\mathfrak{h}}$  under  $\pi$ . We can regard it as a sheaf of algebras over  $\mathfrak{h}_{\text{reg}}^{G'}$ . Similarly to Theorem 5.4 we have an isomorphism

$$\theta : \widehat{H}_{1,c}(G, \mathfrak{h})_{\mathfrak{h}_{\text{reg}}^{G'}} \rightarrow Z(G, G', \widehat{H}_{1,c}(G', \mathfrak{h}/\mathfrak{h}^{G'})_0) \hat{\otimes} \mathcal{D}(\mathfrak{h}_{\text{reg}}^{G'}),$$

where  $\mathcal{D}(\mathfrak{h}_{\text{reg}}^{G'})$  is the sheaf of differential operators on  $\mathfrak{h}_{\text{reg}}^{G'}$ , and  $\hat{\otimes}$  is an appropriate completion of the tensor product.

Thus, repeating the construction of  $\text{Res}_b$ , we can define the functor

$$\text{Res} : \mathcal{O}_c(G, \mathfrak{h})_0 \rightarrow \mathcal{O}_c(G', \mathfrak{h}/\mathfrak{h}^{G'})_0 \boxtimes \text{Loc}(\mathfrak{h}_{\text{reg}}^{G'}),$$

where  $\text{Loc}(\mathfrak{h}_{\text{reg}}^{G'})$  stands for the category of local systems (i.e.  $\mathcal{O}$ -coherent  $\mathcal{D}$ -modules) on  $\mathfrak{h}_{\text{reg}}^{G'}$ . This functor has the property that  $\text{Res}_b$  is the fiber of  $\text{Res}$  at  $b$ . Namely, the functor  $\text{Res}$  is defined by the formula

$$\text{Res}(M) = (E \circ I^{-1} \circ \theta_*)(\widehat{M}_{\mathfrak{h}_{\text{reg}}^{G'}}),$$

where  $\widehat{M}_{\mathfrak{h}_{\text{reg}}^{G'}}$  is the restriction of the sheaf  $M$  on  $\mathfrak{h}$  to the formal neighborhood of  $\mathfrak{h}_{\text{reg}}^{G'}$ .

**Remark 5.18.** If  $G'$  is the trivial group, the functor  $\text{Res}$  is just the KZ functor from [GGOR], which we will discuss later. Thus,  $\text{Res}$  is a relative version of the KZ functor.

**Remark 5.19.** Note that the object  $\text{Res}(M)$  is naturally equivariant under the group  $N_G(G')/G'$ .

Thus, we see that the functor  $\text{Res}_b$  does not depend on  $b$ , up to an isomorphism. A similar statement is true for the functors  $\text{Ind}_b, \text{res}_\lambda, \text{ind}_\lambda$ .

**Conjecture 5.20.** For any  $b \in \mathfrak{h}, \lambda \in \mathfrak{h}^*$  such that  $G_b = G_\lambda$ , we have isomorphisms of functors  $\text{Res}_b \cong \text{res}_\lambda, \text{Ind}_b \cong \text{ind}_\lambda$ .

**Remark 5.21.** Conjecture 5.20 would imply that  $\text{Ind}_b$  is left adjoint to  $\text{Res}_b$ , and that  $\text{Res}_b$  maps injective objects to injective ones, while  $\text{Ind}_b$  maps projective objects to projective ones.

**Remark 5.22.** If  $b$  and  $\lambda$  are generic (i.e.,  $G_b = G_\lambda = 1$ ) then the conjecture holds. Indeed, in this case the conjecture reduces to showing that we have an isomorphism of functors  $\text{Fiber}_b(M) \cong \text{Fiber}_\lambda(M^\dagger)^*$  ( $M \in \mathcal{O}_c(G, \mathfrak{h})$ ). Since both functors are exact functors to the category of vector spaces, it suffices to check that  $\dim \text{Fiber}_b(M) = \dim \text{Fiber}_\lambda(M^\dagger)$ . But this is true because both dimensions are given by the leading coefficient of the Hilbert polynomial of  $M$  (characterizing the growth of  $M$ ).

It is important to mention, however, that although  $\text{Res}_b$  is isomorphic to  $\text{Res}_{b'}$  if  $G_b = G_{b'}$ , this isomorphism is not canonical. So let us examine the dependence of  $\text{Res}_b$  on  $b$  a little more carefully.

Theorem 5.16 implies that if  $c$  is generic, then

$$\text{Res}(M_c(G, \mathfrak{h}, \tau)) = \oplus_\xi M_c(G', \mathfrak{h}/\mathfrak{h}^{G'}, \xi) \otimes \mathcal{L}_{\tau\xi},$$

where  $\mathcal{L}_{\tau\xi}$  is a local system on  $\mathfrak{h}_{\text{reg}}^{G'}$  of rank  $n_{\tau\xi}$ . Let us characterize the local system  $\mathcal{L}_{\tau\xi}$  explicitly.

**Proposition 5.23.** *The local system  $\mathcal{L}_{\tau\xi}$  is given by the connection on the trivial bundle given by the formula*

$$\nabla = d - \sum_{s \in \mathcal{S}: s \notin G'} \frac{2c_s}{1 - \lambda_s} \frac{d\alpha_s}{\alpha_s} (1 - s).$$

with values in  $\text{Hom}_{G'}(\xi, \tau|_{G'})$ .

*Proof.* This follows immediately from formula (5.1). □

**Definition 5.24.** We will call the connection of Proposition 5.23 the parabolic KZ (Knizhnik-Zamolodchikov) connection.

**Example 5.25.** Let  $G = \mathfrak{S}_n$  and  $G' = \mathfrak{S}_{n_1} \times \cdots \times \mathfrak{S}_{n_k}$  with  $n_1 + \cdots + n_k = n$ . In this case, there is only one parameter  $c$ .

Let  $\mathfrak{h} = \mathbb{C}^n$  be the permutation representation of  $G$ . Then

$$\mathfrak{h}^{G'} = (\mathbb{C}^n)^{G'} = \left\{ v \in \mathfrak{h} \mid v = \left( \underbrace{z_1, \dots, z_1}_{n_1}, \underbrace{z_2, \dots, z_2}_{n_2}, \dots, \underbrace{z_k, \dots, z_k}_{n_k} \right) \right\}.$$

Thus, the parabolic KZ connection on the trivial bundle with fiber being a representation  $\tau$  of  $\mathfrak{S}_n$  has the form

$$d - c \sum_{1 \leq p < q \leq k} \sum_{i=n_1+\dots+n_{p-1}+1}^{n_1+\dots+n_p} \sum_{j=n_1+\dots+n_{q-1}+1}^{n_1+\dots+n_q} \frac{dz_p - dz_q}{z_p - z_q} (1 - s_{ij}).$$

So the differential equations for a flat section  $F(z)$  of this bundle have the form

$$\frac{\partial F}{\partial z_p} = c \sum_{q \neq p} \sum_{i=n_1+\dots+n_{p-1}+1}^{n_1+\dots+n_p} \sum_{j=n_1+\dots+n_{q-1}+1}^{n_1+\dots+n_q} \frac{(1 - s_{ij})F}{z_p - z_q}.$$

So  $F(z) = G(z) \prod_{p < q} (z_p - z_q)^{cn_p n_q}$ , where the function  $G$  satisfies the differential equation

$$\frac{\partial G}{\partial z_p} = -c \sum_{q \neq p} \sum_{i=n_1+\dots+n_{p-1}+1}^{n_1+\dots+n_p} \sum_{j=n_1+\dots+n_{q-1}+1}^{n_1+\dots+n_q} \frac{s_{ij}G}{z_p - z_q}.$$

Let  $\tau = V^{\otimes n}$  where  $V$  is a finite dimensional space with  $\dim V = N$  (this class of representations contains as summands all irreducible representations of  $\mathfrak{S}_n$ ). Let  $V_p = V^{\otimes n_p}$ , so that  $\tau = V_1 \otimes \dots \otimes V_k$ . Then the equation for  $G$  can be written as

$$\frac{\partial G}{\partial z_p} = -c \sum_{q \neq p} \frac{\Omega_{pq} G}{z_p - z_q}, \quad p = 1, \dots, k,$$

where  $\Omega = \sum_{s,t=1}^N E_{s,t} \otimes E_{t,s}$  is the Casimir element for  $\mathfrak{gl}_N$  ( $E_{i,j}$  is the  $N$  by  $N$  matrix with the only 1 at the  $(i,j)$ -th place, and the rest of the entries being 0).

This is nothing but the well known Knizhnik-Zamolodchikov system of equations of the WZW conformal field theory, for the Lie algebra  $\mathfrak{gl}_N$ , see [EFK]. (Note that the representations  $V_i$  are “the most general” in the sense that any irreducible finite dimensional representation of  $\mathfrak{gl}_N$  occurs in  $V^{\otimes r}$  for some  $r$ , up to tensoring with a character.)

This motivates the term “parabolic KZ connection”.

**5.11. Supports of modules.** The following two basic propositions are proved in [Gil], Section 6. We will give different proofs of them, based on the restriction functors.

**Proposition 5.26.** *Consider the stratification of  $\mathfrak{h}$  with respect to stabilizers of points in  $G$ . Then the (set-theoretical) support  $\text{Supp}M$  of any object  $M$  of  $\mathcal{O}_c(G, \mathfrak{h})$  in  $\mathfrak{h}$  is a union of strata of this stratification.*

*Proof.* This follows immediately from the existence of the flat connection along the set of points  $b$  with a fixed stabilizer  $G'$  on the bundle  $\text{Res}_b(M)$ .  $\square$

**Proposition 5.27.** *For any irreducible object  $M$  in  $\mathcal{O}_c(G, \mathfrak{h})$ ,  $\text{Supp}M/G$  is an irreducible algebraic variety.*

*Proof.* Let  $X$  be a component of  $\text{Supp}M/G$ . Let  $M'$  be the subspace of elements of  $M$  whose restriction to a neighborhood of a generic point of  $X$  is zero. It is obvious that  $M'$  is an  $H_{1,c}(G, \mathfrak{h})$ -submodule in  $M$ . By definition, it is a proper submodule. Therefore, by the irreducibility of  $M$ , we have  $M' = 0$ . Now let  $f \in \mathbb{C}[\mathfrak{h}]^G$  be a function that vanishes on  $X$ . Then there exists a positive integer  $N$  such that  $f^N$  maps  $M$  to  $M'$ , hence acts by zero on  $M$ . This implies that  $\text{Supp}M/G = X$ , as desired.  $\square$



Propositions 5.26 and 5.27 allow us to attach to every irreducible module  $M \in \mathcal{O}_c(G, \mathfrak{h})$ , a conjugacy class of parabolic subgroups,  $C_M \in \text{Par}(G)$ , namely, the conjugacy class of the stabilizer of a generic point of the support of  $M$ . Also, for a parabolic subgroup  $G' \subset G$ , denote by  $\mathcal{X}(G')$  the set of points  $b \in \mathfrak{h}$  whose stabilizer contains a subgroup conjugate to  $G'$ .

The following proposition is immediate.

**Proposition 5.28.** (i) *Let  $M \in \mathcal{O}_c(G, \mathfrak{h})_0$  be irreducible. If  $b$  is such that  $G_b \in C_M$ , then  $\text{Res}_b(M)$  is a nonzero finite dimensional module over  $H_{1,c}(G_b, \mathfrak{h}/\mathfrak{h}^{G_b})$ .*  
(ii) *Conversely, let  $b \in \mathfrak{h}$ , and  $L$  be a finite dimensional module  $H_{1,c}(G_b, \mathfrak{h}/\mathfrak{h}^{G_b})$ . Then the support of  $\text{Ind}_b(L)$  in  $\mathfrak{h}$  is  $\mathcal{X}(G_b)$ .*

Let  $\text{FD}(G, \mathfrak{h})$  be the set of  $c$  for which  $H_{1,c}(G, \mathfrak{h})$  admits a finite dimensional representation.

**Corollary 5.29.** *Let  $G'$  be a parabolic subgroup of  $G$ . Then  $\mathcal{X}(G')$  is the support of some irreducible representation from  $\mathcal{O}_c(G, \mathfrak{h})_0$  if and only if  $c \in \text{FD}(G', \mathfrak{h}/\mathfrak{h}^{G'})$ .*

*Proof.* Immediate from Proposition 5.28. □

**Example 5.30.** Let  $G = \mathfrak{S}_n$ ,  $\mathfrak{h} = \mathbb{C}^{n-1}$ . In this case, the set  $\text{Par}(G)$  is the set of partitions of  $n$ . Assume that  $c = r/m$ ,  $(r, m) = 1$ ,  $2 \leq m \leq n$ . By a result of [BEG], finite dimensional representations of  $H_c(G, \mathfrak{h})$  exist if and only if  $m = n$ . Thus the only possible classes  $C_M$  for irreducible modules  $M$  have stabilizers  $\mathfrak{S}_m \times \cdots \times \mathfrak{S}_m$ , i.e., correspond to partitions into parts, where each part is equal to  $m$  or 1. So there are  $[n/m] + 1$  possible supports for modules, where  $[a]$  denotes the integer part of  $a$ .

**5.12. Notes.** Our discussion of the geometric approach to rational Cherednik algebras in Section 5.1 follows [E1] and Section 2.2 of [BE]. Our exposition in the other sections follows the corresponding parts of the paper [BE].

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