

## SYMPLECTIC GEOMETRY, LECTURE 22

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### 1. SYMPLECTIC SUM

Let  $(M_1^{2n}, \omega_1), (M_2^{2n}, \omega_2)$  be symplectic manifolds,  $(Q^{2n-2}, \omega_Q)$  a compact symplectic manifold with symplectic embeddings  $\iota_1 : Q \rightarrow M_1, \iota_2 : Q \rightarrow M_2$  and trivial normal bundles. Then  $v(Q_i)$  is symplectomorphic to  $(Q \times D^2(\epsilon), \omega_Q \oplus \omega_0)$ . Let

$$(1) \quad M = M_1 \#_Q M_2 = \left( M_1 \setminus \left( Q_1 \times D^2 \left( \frac{\epsilon}{2} \right) \right) \right) \cup_\phi \left( M_2 \setminus \left( Q_2 \times D^2 \left( \frac{\epsilon}{2} \right) \right) \right)$$

where  $\phi$  is given in local coordinates by

$$(2) \quad Q \times \left( D^2(\epsilon) - D^2 \left( \frac{\epsilon}{2} \right) \right) \rightarrow Q \times \left( D^2(\epsilon) - D^2 \left( \frac{\epsilon}{2} \right) \right), (q, z) \mapsto (q, \psi(z))$$

and  $\psi$  is an orientation- and area-preserving diffeomorphism that exchanges the boundaries. Then  $\psi^* \omega_0 = \omega_0, \phi^* \omega_2 = \omega_1 \implies$  we get a natural symplectic structure on  $M_1 \#_Q M_2$ .

*Remark.* In this gluing, we "lost" an amount of volume depending on  $\epsilon$ . If one instead forms the manifold as

$$(3) \quad \left( M_1 \setminus \left( Q_1 \times D^2 \left( \frac{\epsilon}{2} \right) \right) \right) \cup (Q \times \text{cylinder}) \cup \left( M_2 \setminus \left( Q_2 \times D^2 \left( \frac{\epsilon}{2} \right) \right) \right)$$

one can force  $\text{vol}(M) = \text{vol}M_1 + \text{vol}M_2$ . Moreover,  $M_1 \#_Q M_2$  depends on the isotopy class of  $i_2 \circ i_1^{-1} : Q_1 \rightarrow Q \rightarrow Q_2$ .

*Remark.* In dimension 4, it is enough to have  $\Sigma_1 \subset M_1^4, \Sigma_2 \subset M_2^4$  symplectic submanifolds with the self-intersection 0 and identical genus and symplectic area.

We can generalize this construction to the case when the normal bundles are no longer trivial, but dual to each other, i.e.  $c_1(NQ_1) + c_1(NQ_2) = 0$ : this implies that we can do the gluing fiberwise since  $(NQ_2) \cong (NQ_1)^*$ . Letting  $L = NQ_1$ , we consider a manifold  $X$  which is the total space of  $L \oplus L^* \rightarrow Q$ , on which we can put a symplectic structure compatible with the symplectic structures on  $L, L^*$ . By local Moser,  $\exists$  a local description

$$(4) \quad M_1 \cong \{(g, s_1, 0) \in Q \times L_q \times L_q^*\}, M_2 \cong \{(g, 0, s_2) \in Q \times L_q \times L_q^*\}$$

$M_1, M_2$  intersect along the zero section, and

$$(5) \quad M_1 \cup_Q M_2 = \{(q, s_1, s_2) | s_1 s_2 = 0\}$$

Let  $M = \{(q, s_1, s_2) | s_1 s_2 = \delta \chi(|s_1|, |s_2|)\}$  for  $\delta \neq 0$  small (can consider it to be a complex number fixing  $L \otimes L^* \cong \mathbb{C}$  or a nonvanishing section of  $L \otimes L^*$ ) and  $\chi$  a cutoff function which makes  $M$  look like  $M_1$  or  $M_2$  away from  $Q$ . We claim without proof that we can choose  $\delta$  small enough that we get a symplectic structure on  $M$ .

*Remark.* In dimension 4, the above assumption implies that  $[\Sigma_1] \cdot [\Sigma_1] + [\Sigma_2] \cdot [\Sigma_2] = 0$ . We can do the same construction assuming only that  $[\Sigma_1] \cdot [\Sigma_1] + [\Sigma_2] \cdot [\Sigma_2] \geq 0$ . Consider,  $L_1 = N\Sigma_1, L_2 = N\Sigma_2, X = L_1 \oplus L_2 \rightarrow \Sigma$ , and set

$$(6) \quad M = \{(q, s_1, s_2) | s_1 s_2 = \delta \sigma(q) \chi(|s_1|, |s_2|)\}$$

where  $\sigma$  is a section of  $L_1 \otimes L_2$ . To ensure that  $M$  is smooth, we need  $\sigma$  to vanish transversally, i.e. its zeroes  $\sim \sigma(z) = z$  or  $\sigma(z) = \bar{z}$ . To ensure that  $M$  is symplectic, we require all the zeros of  $\sigma$  to have complex orientation, which requires  $\sim \sigma(z) = z$  and  $\text{deg}(L_1 \otimes L_2) \geq 0$ .

An application of the symplectic sum construction is the following result:

**Theorem 1** (Gompf 1994). *Every finitely presented group  $G$  is  $\pi_1$  of a compact symplectic 4 manifold.*

Write  $G = \langle g_1, \dots, g_k | r_1, \dots, r_k \rangle$  where  $g_i$  are generators and  $r_i$  are relations. Let  $F$  be a compact genus  $k$  surface with standard generators  $(\alpha_1, \beta_1, \dots, \alpha_k, \beta_k)$  of  $\pi_1$  s.t.

$$(7) \quad \pi_1(F) = \langle \alpha_1, \beta_1, \dots, \alpha_k, \beta_k | \prod_{i=1}^k [\alpha_i, \beta_i] = 1 \rangle$$

That is,  $F = F^0 \cup D^2$ , where  $F^0 = \bigvee^{2g} S^1$  is the 1-skeleton and  $D^2$  is attached along  $\prod \alpha_i \beta_i \alpha_i^{-1} \beta_i^{-1}$ .

Now, for  $i = 1, \dots, \ell$ , choose  $\gamma_i$  an immersed closed curve in  $F$  representing  $\sigma_i(\alpha_1 \cdots \alpha_k)$ . Let  $\gamma_{\ell+j} = \beta_j$  for  $j = 1, \dots, k$ . Then

$$(8) \quad G = \pi_1(F) / \langle \gamma_1 \cdots \gamma_{k+\ell} \rangle$$

Assume  $\exists \rho \in \Omega^1(F)$  a closed 1-form s.t.  $\rho|_{\gamma_i}$  is a positive form at every point of every  $\gamma_i$  (there exists a procedure to do this, at the expense of increasing the genus and the number of  $\gamma_i$ 's). Set  $X = F \times T^2, \omega = \omega_1 + \omega_2$ . From before we have  $\gamma_i \subset F, \rho \in \Omega^1(F)$  closed s.t.  $\rho|_{\gamma_i} > 0$ , and we can similarly find  $\alpha_i \subset T^2$  disjoint nontrivial simple closed curves and  $\theta \in \Omega^1(T^2)$  closed with  $\theta|_{\alpha_i} > 0$  (for instance,  $\theta = dx$  for  $\alpha_i = S^1 \times \{p_i\}$ ). Then  $T_i = \gamma_i \times \alpha_i$  are Lagrangian w.r.t  $\omega$ , symplectic w.r.t.  $\omega' = \omega + \rho \wedge \theta$ . Now do a symplectic sum construction, attaching  $(\mathbb{C}\mathbb{P}^2, E = \{(x_0 : x_1 : x_2) | x_0^3 + x_1^3 + x_2^3 = 0\})$ .

*Remark* (Adjunction Formula). For a connected, embedded compact symplectic  $\Sigma^2 \subset (M^4, \omega)$ ,  $TM|_{\Sigma} = T\Sigma \oplus N\Sigma$  as symplectic vector bundles, so

$$(9) \quad \begin{aligned} c_1(TM|_{\Sigma}) &= c_1(T\Sigma) + c_1(N\Sigma) \in H^2(\Sigma) = \mathbb{Z} \\ c_1(TM) \cdot [\Sigma] &= 2 - 2g(\Sigma) + [\Sigma] \cdot [\Sigma] \end{aligned}$$

In our case, this implies that the genus is 1, i.e. we have a torus on both sides that can be glued. The tori  $T_i$  are disjoint, and  $[T_i] \cdot [T_i] = 0$ : since  $[E] \cdot [E] = 9$ , we can do the symplectic sum. Doing the sums along the  $T_i$  as well as  $\{z\} \times T^2, z \in F \setminus (\bigcup \gamma_i)$ , we kill  $\gamma_i$  and the generators of the  $T^2$ . Indeed, using Van Kampen, we can show that  $\#_E \mathbb{C}\mathbb{P}^2$  just kills  $\text{Im}(\pi_1(\Sigma) \rightarrow \pi_1(M))$ , giving us the desired manifold.

Now we will study further the topology of 4-manifolds.

*Problem.* Let  $M$  be the connected sum of 9 copies of  $\mathbb{C}\mathbb{P}^2$  and 44 copies of  $\overline{\mathbb{C}\mathbb{P}^2}$ . Then  $M$  is homeomorphic but not diffeomorphic to  $\{x_0^5 + x_1^5 + x_2^5 + x_3^5 = 0\} \subset \mathbb{C}\mathbb{P}^3$ .